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## **1. Deformable and Functional Models In Medical Image Analysis**

## **2. A Tensor Algebraic Framework for Image Synthesis, Analysis & Recognition**

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*Computer Science Department*

*University of California, Los Angeles*

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## **Deformable Models**

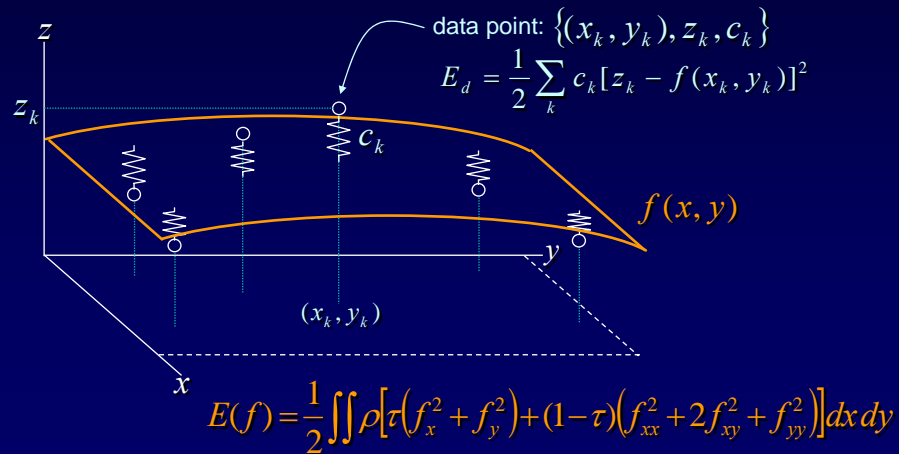
### ***A powerful, model-based medical image analysis approach***

- Proposed in computer vision and graphics
- Actively explored in medical image analysis
- Combine bottom-up and top-down analysis
- Accommodate shape & motion constraints/variability
- Incorporate a priori anatomical knowledge
- Support intuitive interaction mechanisms

# Computing Visible Surfaces from Scattered Visual Data

[Terzopoulos, 1984]

## Thin-plate spline under tension

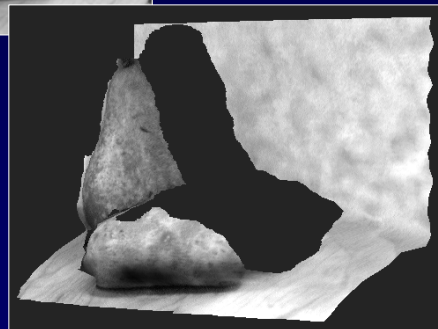


# Discontinuity-Preserving Surface Reconstruction



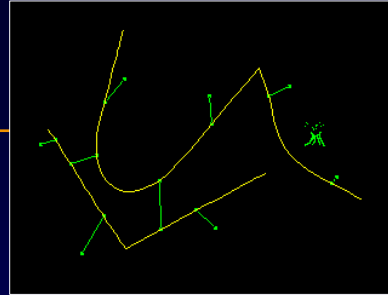
Make "rigidity" & "tension" functions of  $(x, y)$

- Tangent discontinuities:  
 $\tau(x, y) = 1$
- Jump discontinuities:  
 $\rho(x, y) = 0$



# Snakes: Active Contours

[Kass, Witkin, Terzopoulos, 1987]



- Curve representation:

$$\mathbf{c}(u, t) = \begin{bmatrix} x(u, t) \\ y(u, t) \end{bmatrix}; \quad u \in [0, 1]$$

- Curve deformation energy:  $E(\mathbf{c}) = \frac{1}{2} \int_0^1 w_1 \left| \frac{\partial \mathbf{c}}{\partial u} \right|^2 + w_2 \left| \frac{\partial^2 \mathbf{c}}{\partial u^2} \right|^2 du$

- Equations of motion:  $\mu \ddot{\mathbf{c}} + \gamma \dot{\mathbf{c}} + \delta_{\mathbf{c}} E(\mathbf{c}) = \mathbf{f}$

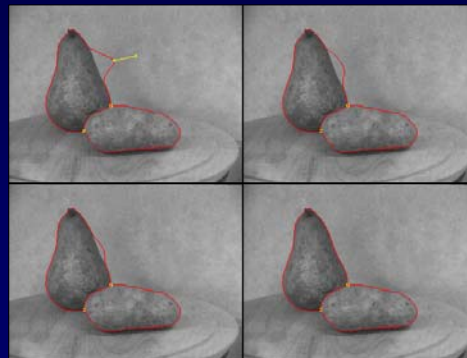
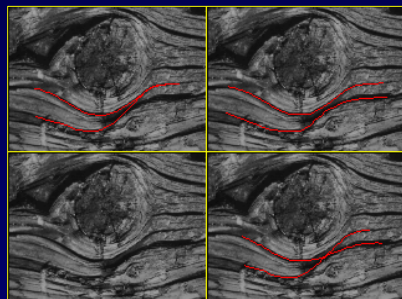
$$\mu \ddot{\mathbf{c}} + \gamma \dot{\mathbf{c}} - \frac{\partial}{\partial u} \left( w_1 \frac{\partial \mathbf{c}}{\partial u} \right) + \frac{\partial^2}{\partial u^2} \left( w_2 \frac{\partial \mathbf{c}^2}{\partial u^2} \right) = \mathbf{f}$$

## Image Analysis Using Snakes

*External forces come from an image*

$$\mathbf{f} = -\nabla P(\mathbf{c})$$

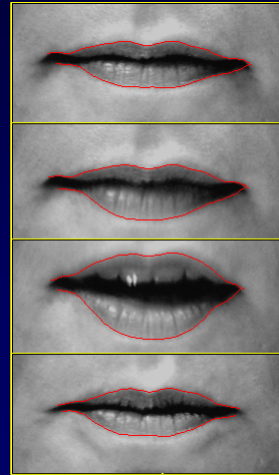
- Image potential:  $P(x, y)$



## Motion Tracking in Video

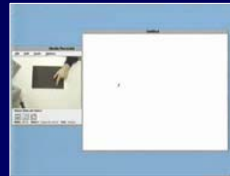
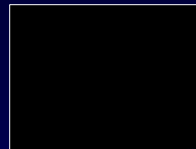
*Time-varying image potential*

$$P(x, y, t)$$



## Snake-Based Tracking

*(Blake & Isard, Oxford University)*



## Discretization

- Continuous equations of motion

$$\mu \ddot{\mathbf{c}} + \gamma \dot{\mathbf{c}} - \frac{\partial}{\partial u} \left( w_1 \frac{\partial \mathbf{c}}{\partial u} \right) + \frac{\partial^2}{\partial u^2} \left( w_2 \frac{\partial \mathbf{c}^2}{\partial u^2} \right) = \mathbf{f}$$

- Discrete equations of motion

$$\mathbf{M} \ddot{\mathbf{c}} + \mathbf{D} \dot{\mathbf{c}} + \mathbf{K} \mathbf{c} = \mathbf{f}$$

Mass matrix

Damping matrix

Stiffness matrix

External forces

## Snake Stiffness Matrix

Finite differences:

$$\mathbf{c}_i = \mathbf{c}(ih); \quad i = 0, \dots, N-1$$

$$\frac{\partial \mathbf{c}}{\partial u} \approx \frac{\mathbf{c}_{i+1} - \mathbf{c}_i}{h}$$

$$\frac{\partial^2 \mathbf{c}}{\partial u^2} \approx \frac{\mathbf{c}_{i+1} - 2\mathbf{c}_i + \mathbf{c}_{i-1}}{h^2}$$

$$\mathbf{K} = \begin{bmatrix} a_0 & b_0 & c_0 & & & & c_{N-2} & b_{N-1} \\ b_0 & a_1 & b_1 & c_1 & & & & c_{N-1} \\ c_0 & b_1 & a_2 & b_2 & c_2 & & & \\ & c_1 & b_2 & a_3 & b_3 & c_3 & & \\ & & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & & c_{N-5} & b_{N-4} & a_{N-3} & b_{N-3} & c_{N-3} \\ c_{N-2} & & & & c_{N-4} & b_{N-3} & a_{N-2} & b_{N-2} \\ b_{N-1} & c_{N-1} & & & & c_{N-3} & b_{N-2} & a_{N-1} \end{bmatrix}$$

$$a_i = \frac{w_{1,i-1} + w_{1,i}}{h^2} + \frac{w_{2,i-1} + 4w_{2,i} + w_{2,i+1}}{h^4}$$

$$b_i = -\frac{w_{1,i}}{h^2} - \frac{2w_{2,i} + 2w_{2,i+1}}{h^4}$$

$$c_i = \frac{w_{2,i+1}}{h^4}$$

$$w_{1,i} = w_1(ih)$$

$$w_{2,i} = w_2(ih)$$

## Stable, Implicit Euler Time-Integration Method

*Solve linear system at each time step*

$$\left\{ \begin{array}{l} \mathbf{A}^{(t)} \dot{\mathbf{c}}^{(t+\delta t)} = \dot{\mathbf{c}}^{(t)} + \mathbf{g}^{(t)} \\ \mathbf{c}^{(t+\delta t)} = dt \dot{\mathbf{c}}^{(t+\delta t)} + \mathbf{c}^{(t)} \end{array} \right.$$

- Efficient skyline storage of  $\mathbf{A}^{(t)}$
- LU factorization of  $\mathbf{A}^{(t)}$
- Forward / Back substitution solves for  $\dot{\mathbf{c}}^{(t+\delta t)}$

## Deformable Surfaces

[Terzopoulos, 1986; Terzopoulos, Witkin, Kass, 1987]

- Surface representation:

$$\mathbf{s}(u, v, t) = \begin{bmatrix} x(u, v, t) \\ y(u, v, t) \\ z(u, v, t) \end{bmatrix}; \quad u, v \in [0, 1]$$

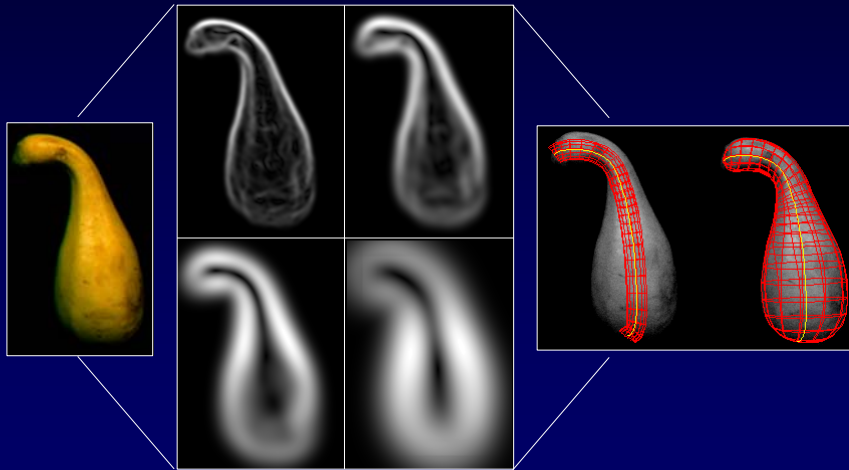
- Surface deformation energy:

$$E(\mathbf{s}) = \frac{1}{2} \int_0^1 \int_0^1 w_{10} \left| \frac{\partial \mathbf{s}}{\partial u} \right|^2 + w_{01} \left| \frac{\partial \mathbf{s}}{\partial v} \right|^2 + w_{20} \left| \frac{\partial^2 \mathbf{s}}{\partial u^2} \right|^2 + 2w_{11} \left| \frac{\partial^2 \mathbf{s}}{\partial u \partial v} \right|^2 + w_{02} \left| \frac{\partial^2 \mathbf{s}}{\partial v^2} \right|^2 du dv$$

- Equations of motion:  $\mu \ddot{\mathbf{s}} + \gamma \dot{\mathbf{s}} + \delta_s E(\mathbf{s}) = \mathbf{f}$

$$\mu \ddot{\mathbf{s}} + \gamma \dot{\mathbf{s}} - \frac{\partial}{\partial u} \left( w_{10} \frac{\partial \mathbf{s}}{\partial u} \right) - \frac{\partial}{\partial v} \left( w_{01} \frac{\partial \mathbf{s}}{\partial v} \right) + \frac{\partial^2}{\partial u^2} \left( w_{20} \frac{\partial^2 \mathbf{s}}{\partial u^2} \right) + 2 \frac{\partial^2}{\partial u \partial v} \left( w_{11} \frac{\partial^2 \mathbf{s}}{\partial u \partial v} \right) + \frac{\partial^2}{\partial v^2} \left( w_{02} \frac{\partial^2 \mathbf{s}}{\partial v^2} \right) = \mathbf{f}$$

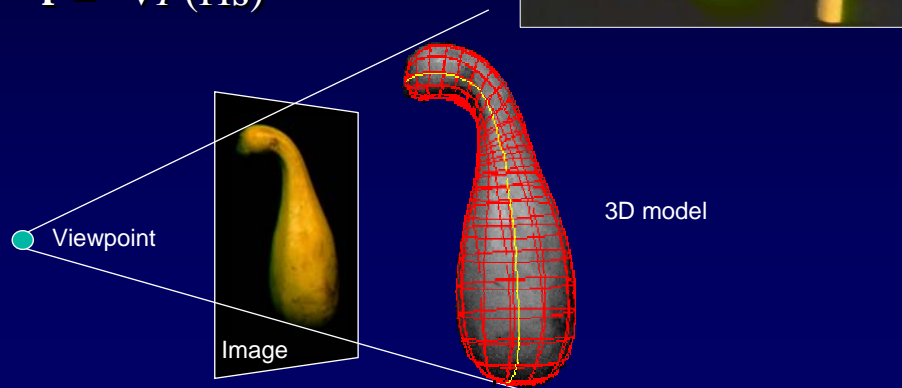
## Deformable Model Reconstruction



## Reconstruction

*3D to 2D projection*

$$\mathbf{f} = -\nabla P(\Pi s)$$



# Graphics / Vision

## Converse problems

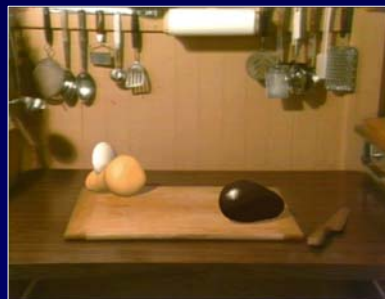
"Cooking with Kurt" (1987)



Image  Reconstructed 3D Scene



# Vegetable Model Animation





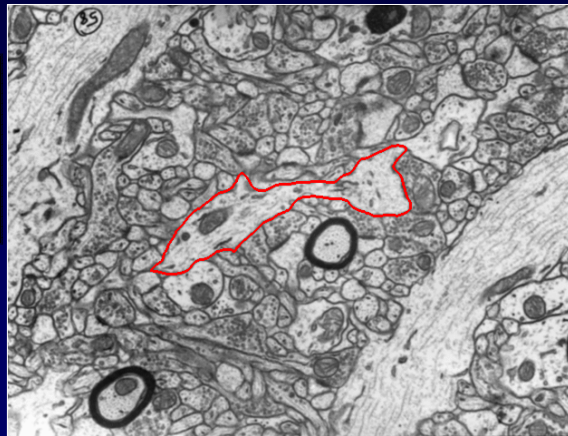
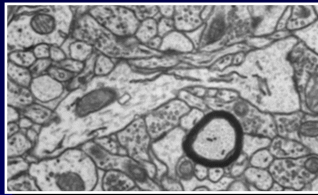
## Medical Image Analysis Tasks

- Segmentation
- Shape modeling
- Matching
- Motion recovery and analysis
- Functional modeling

## Interactive Medical Image Segmentation using Snakes

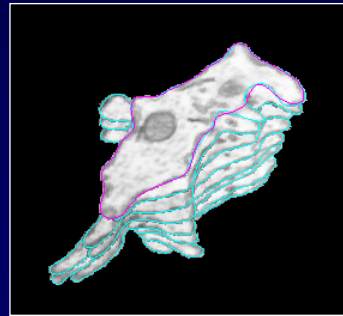
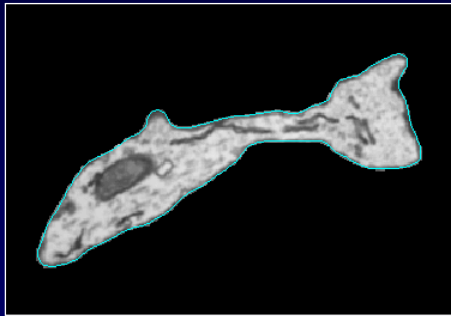
[Carlbom, Terzopoulos, Harris, 1994]

### *EM neuronal tissue sections*



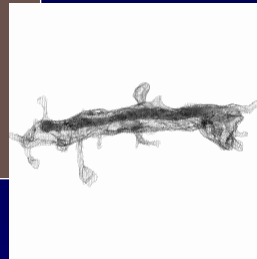
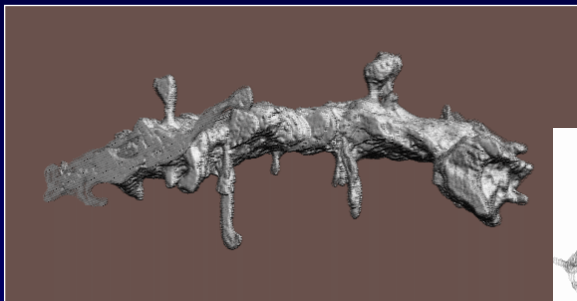
## Reconstruction of Neuronal Dendrite

*Cell interiors stacked in 3D*



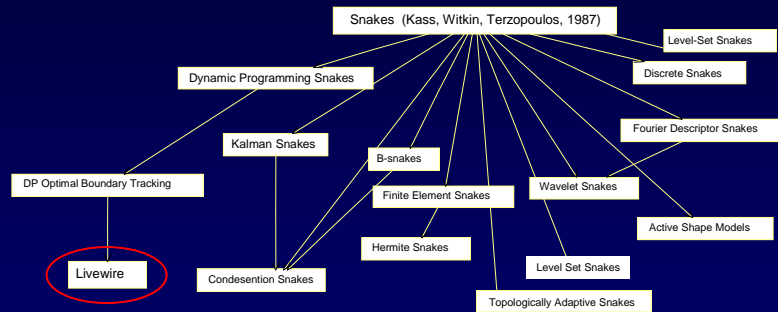
## Visualization of Dendrite

*Ray-traced interpolated volume*



# Family of Snakes

## Many snakes variants



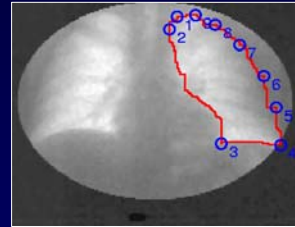
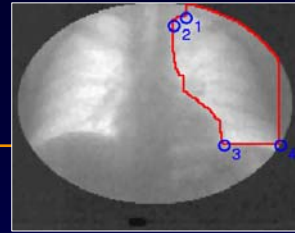
- “Livewire” or “Intelligent Scissors”:  
An interactive boundary tracing tool

# Livewire Demo



## Limitations of Livewire

- No control of trace between seed points; only backtracking
- Many seed points needed for complex boundaries
- Nearby strong edges can capture trace (on-the-fly training)
- Fundamentally image-based
  - *cannot bridge gaps*
  - *smoothness not guaranteed*



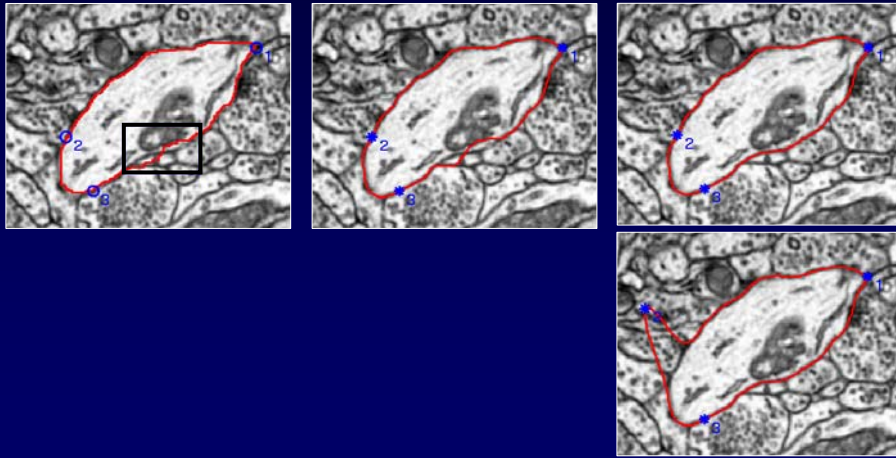
## Combining Snakes and Livewire

### **“United Snakes”**

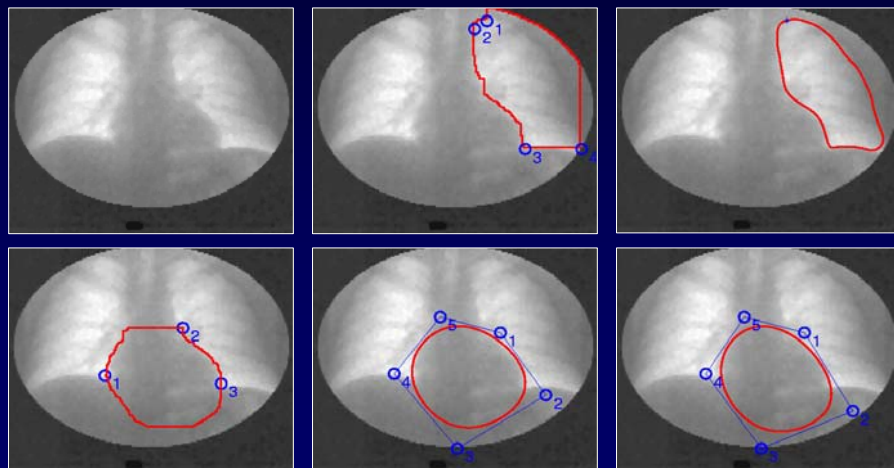
- Livewire serves for quick initialization of snakes
  - *typically requires fewer seed points*
- Livewire-initialized snakes quickly lock on boundaries
- Snakes enable adjustment of traces between seeds
  - *snake provides subpixel accuracy*
- Snake energy imposes smoothness and bridges gaps
- Livewire seed points capture user’s knowledge
  - *can serve as hard or soft constraints on snake*

## Combining Snakes and Livewire

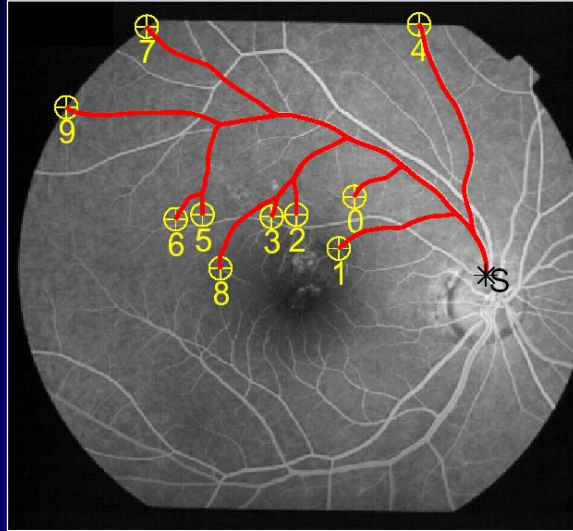
*“United Snakes”* accrue benefits of both



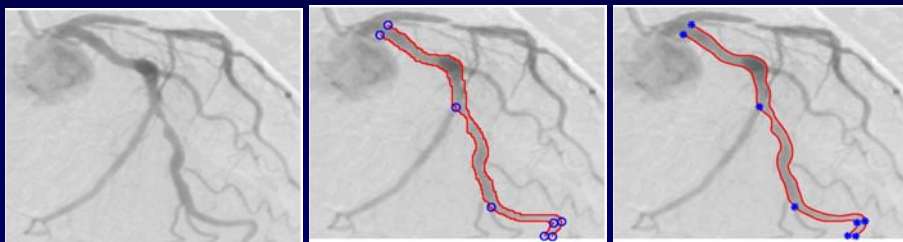
## Dynamic Chest Image Analysis



## Vessel Segmentation



## Vessel Segmentation

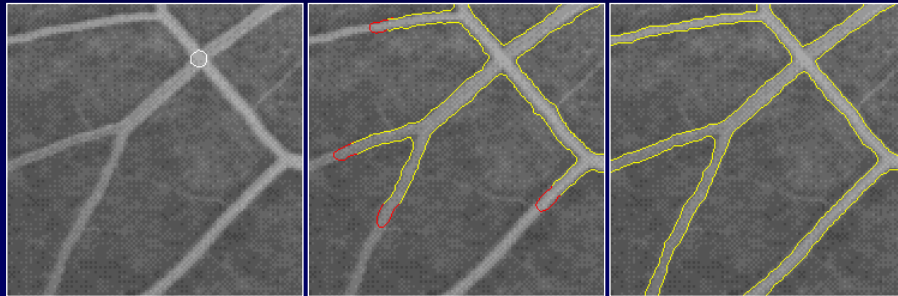


# Topologically Adaptive Snakes

(McInerney & Terzopoulos, 1996)

## Segmenting Retinal Angiogram

- T-snake flows and bifurcates



Initial Model

Flow

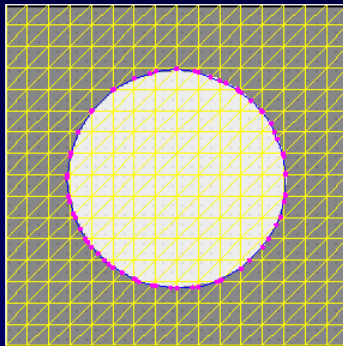
Segmented Angiogram

## Retinal Angiogram Segmentation



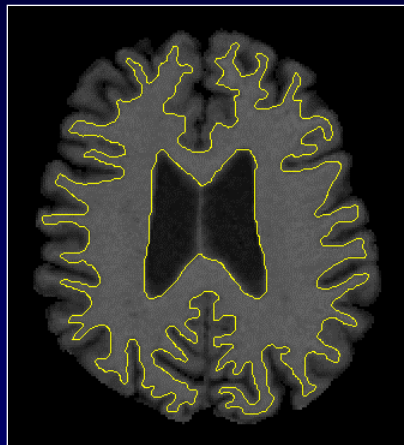
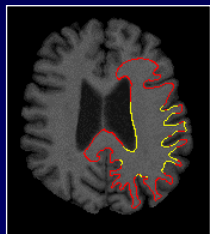
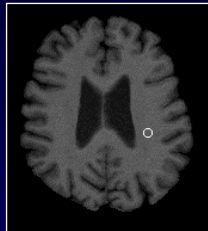
## Affine Cell Image Decomposition

*ACID makes snakes topologically flexible*



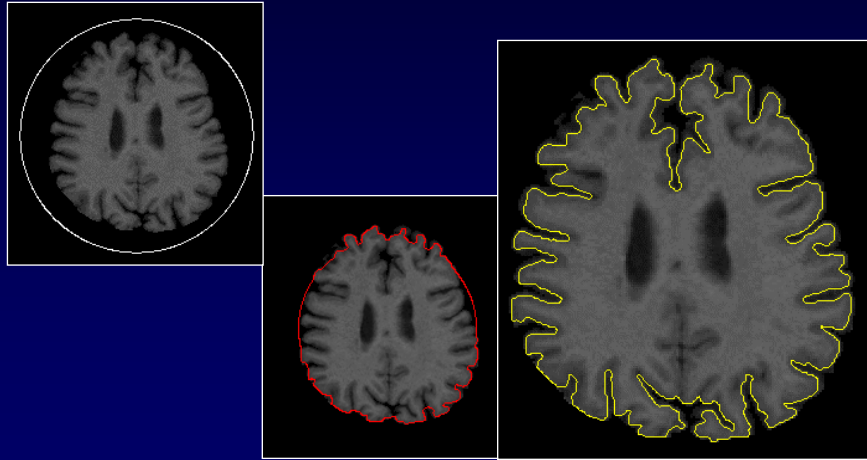
- ACID grid continually reparameterizes snake

## T-Snake Segmentation of Brain Image

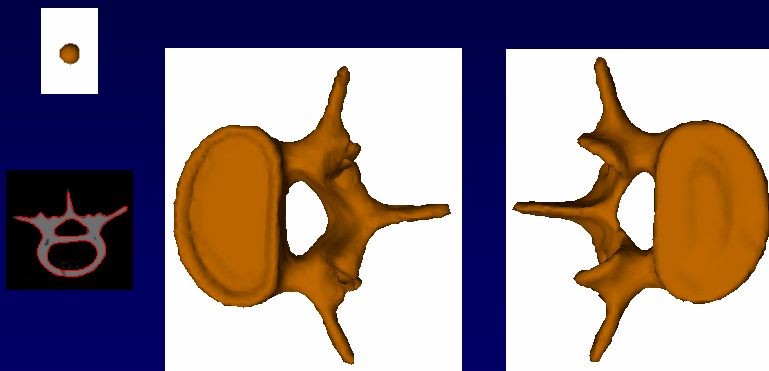




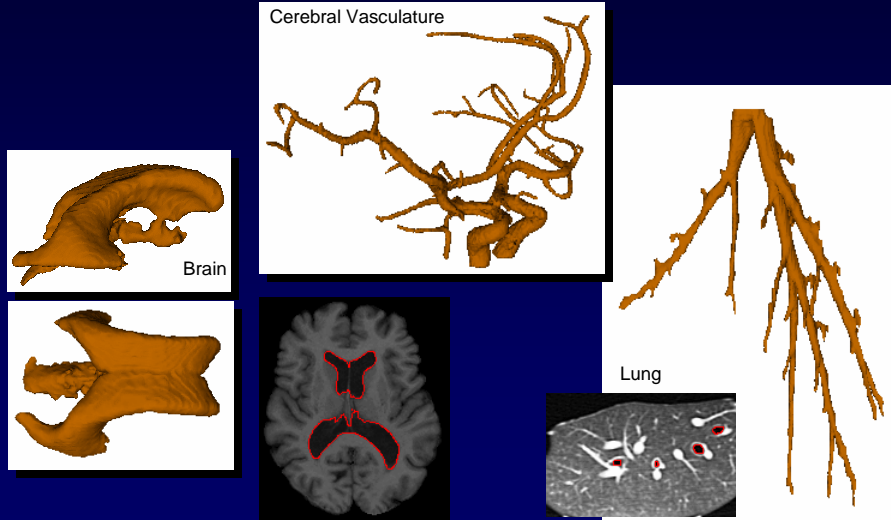
## Shrink-Wrap Segmentation



## Vertebra Reconstruction

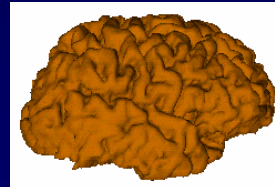
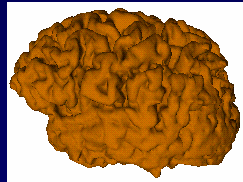
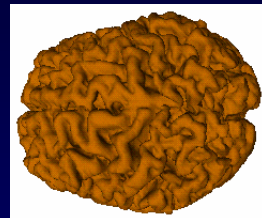
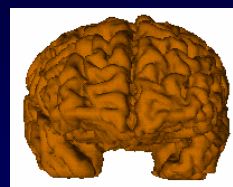


## Complex Structure Extraction



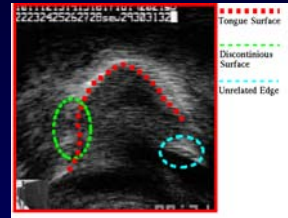
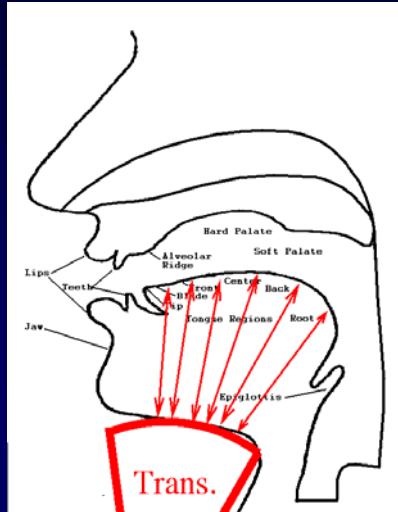
## T-Surface Segmentation of Cortex

[McInerney & Terzopoulos, 1997]

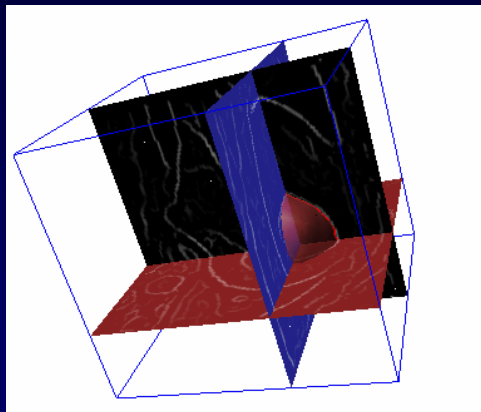


# Tongue Tracking in Ultrasound

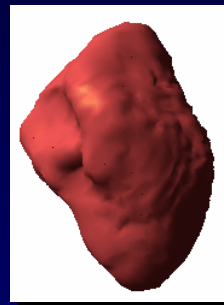
[Kambhamettu et al, 1999]



# LV Reconstruction

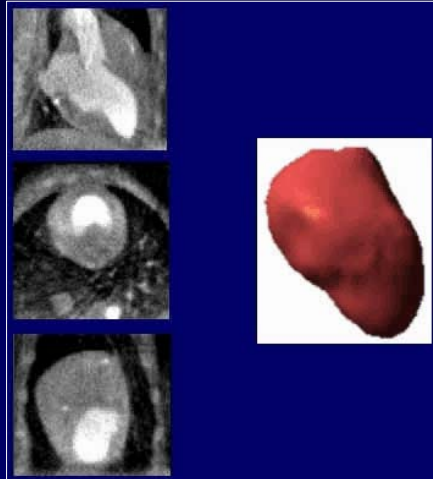
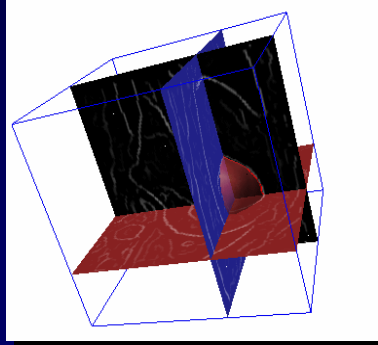


Deformable Balloon in Processed DSR Data



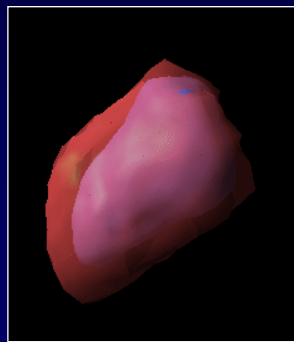
Reconstructed LV

## Cardiac LV Motion Tracking



## Systolic/Diastolic LV

*Computing ejection fraction*





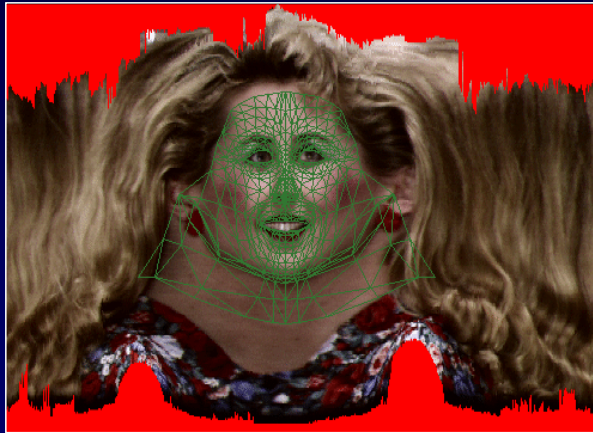
## Fitting the Generic Mesh

### *Feature-based image matching algorithm*

localizes facial  
features in:

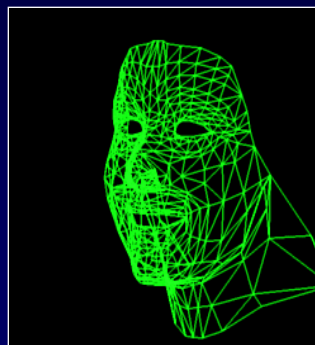
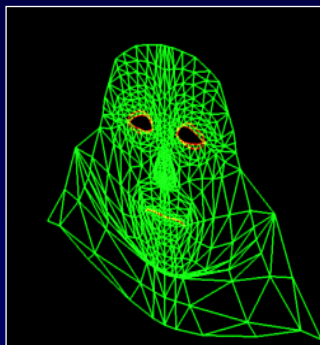
Processed range image

RGB texture image



## Sampling Facial Shape

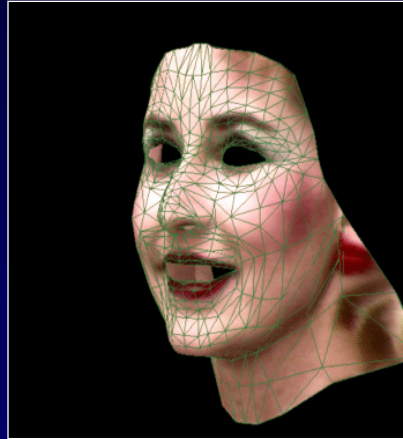
### *Fitted mesh nodes sample range data*



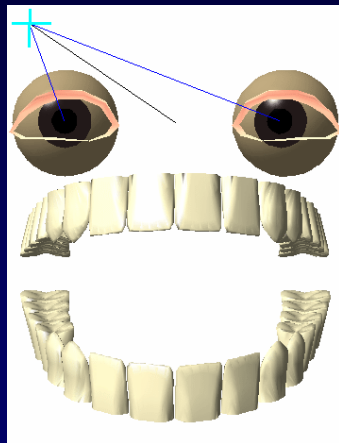
## Textured 3D Geometric Model

### Texture map coordinates

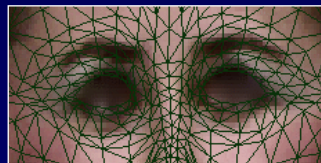
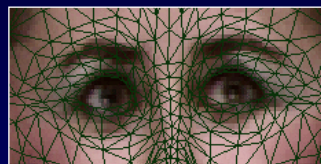
- Positions of fitted mesh nodes in RGB texture image



## Auxiliary Geometric Models

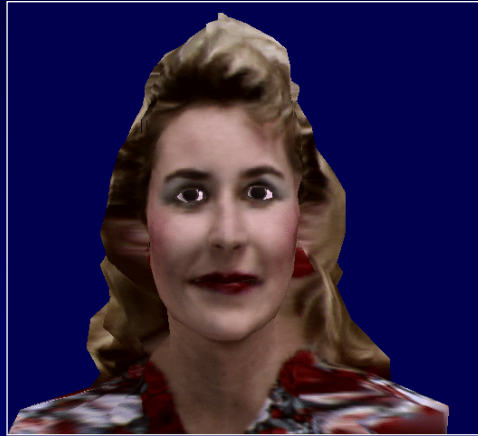


Eyelid Texture Interpolation

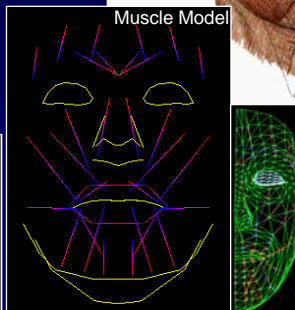
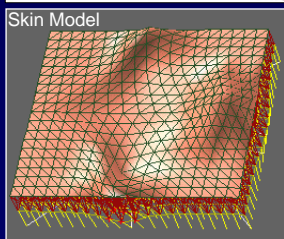
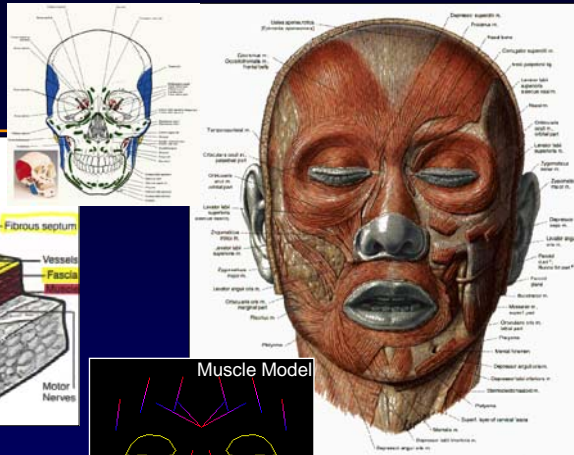
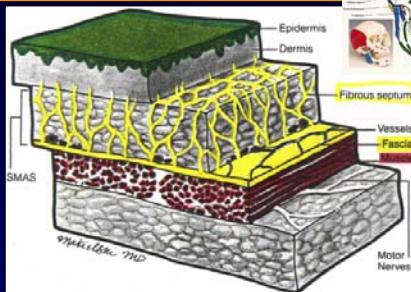


# Complete Geometric Model

*Neutral expression is estimated*



# Facial Anatomy





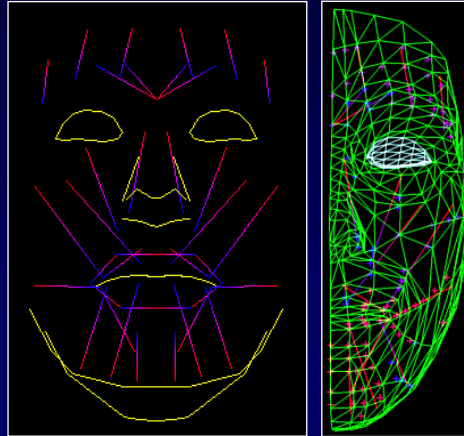
## Facial Muscle Model Structure

### 35 Muscles

- Levator Oculii
- Corrugators
- Naso-Labial
- Zygomatics
- Obicularis Oris

### plus

- Articulate Jaw
- Eyes/Eyelids



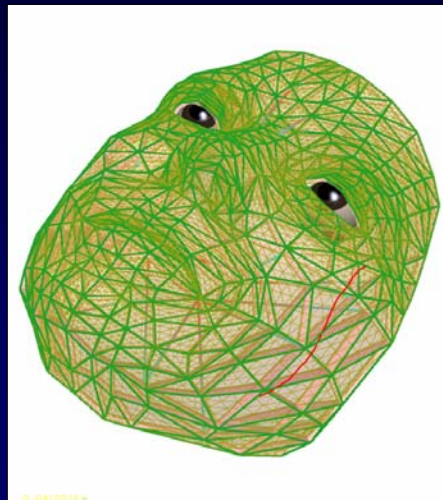
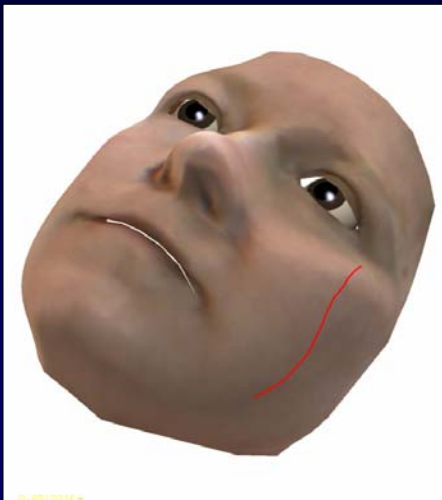
## Synthetic Face Animation



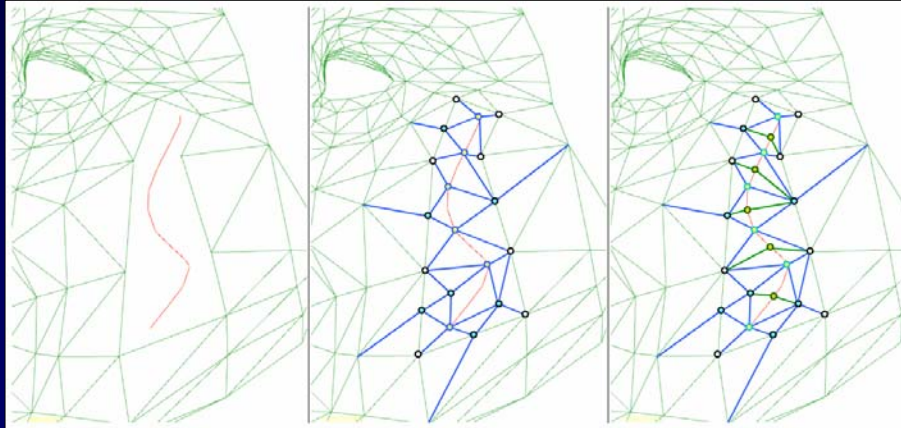
## Real-Time Facial Simulation



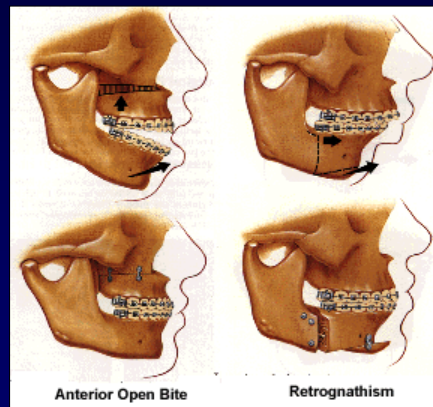
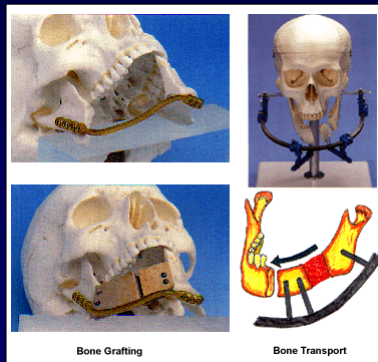
## Incision on Facial Mesh



## Retriangulation Around Incision



## Maxillo Surgery

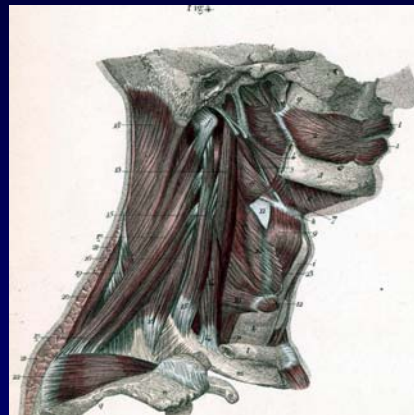
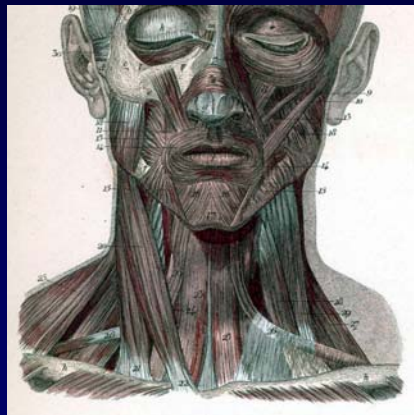


# Craniofacial Surgery

[Gladalin, 2002]



# Anatomical Structure of the Neck



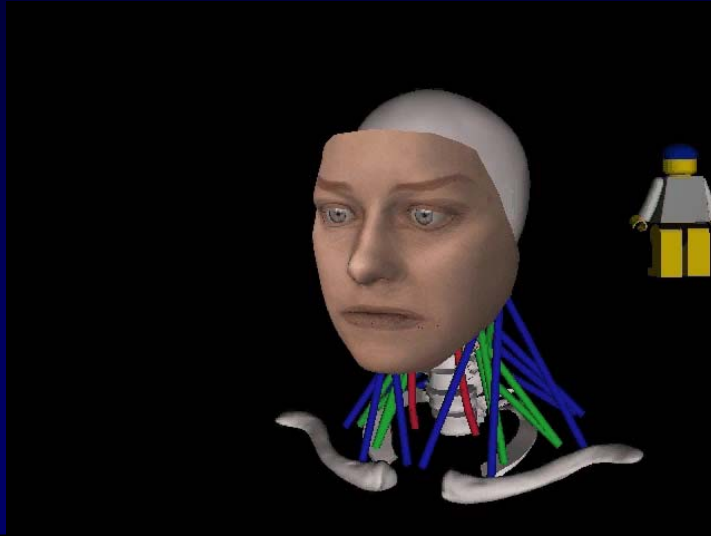
## Biomechanical Modeling



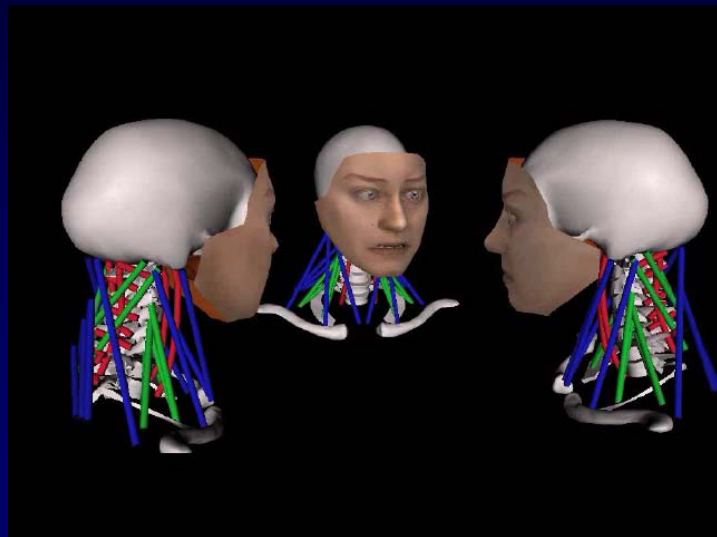
## What would Leonardo da Vinci Think of This?



## Demo: Gaze Behavior

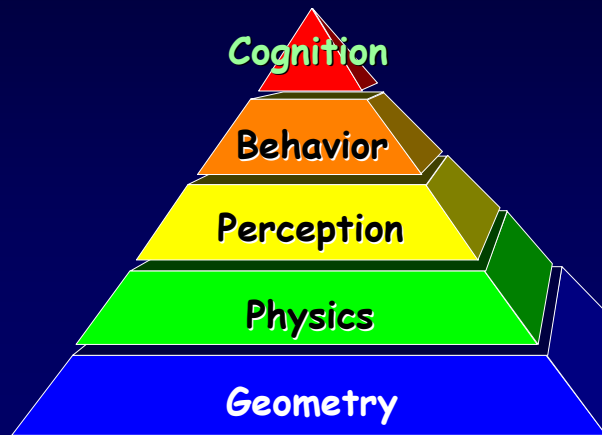


## Demo: Autonomous Multi-Head Interaction



## Artificial Life Modeling

*From physics to intelligence*



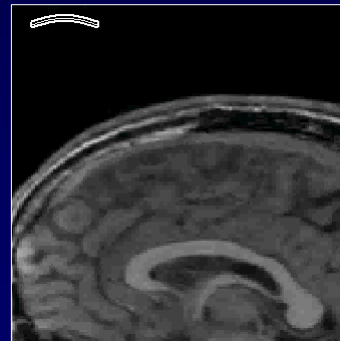
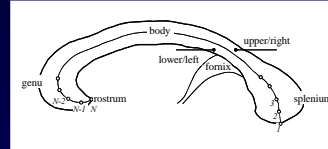
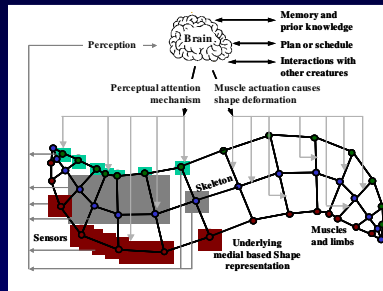
## Artificial Fishes



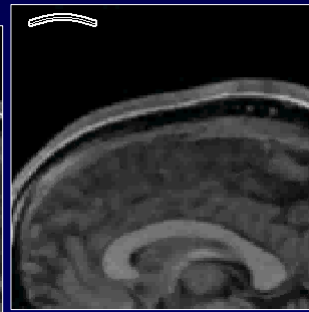
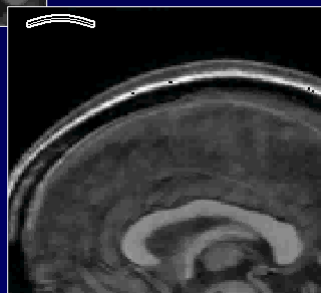
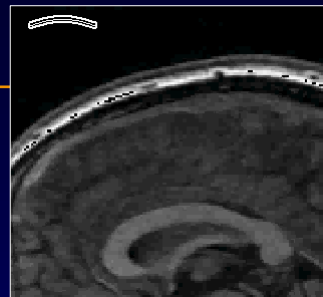
# Deformable Organisms

[Hamarneh, McInerney, Terzopoulos, 2001]

## Corpus Callosum Organism

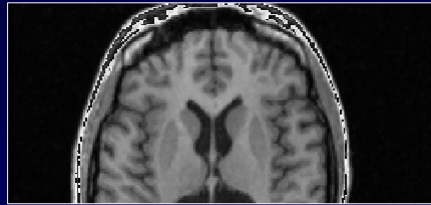


## Deformable Organisms





## Deformable Organisms



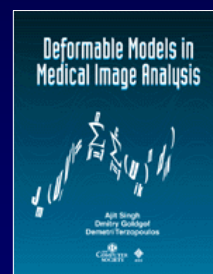
## Conclusion

### *Deformable models*

- Powerful technique for extracting geometric models of anatomical structures
- Functional models
- Development continues

“Deformable Models in Medical Image Analysis: A Survey”, *Medical Image Analysis*, 1(2), 1997

See [deformable.com](http://deformable.com)



# A Tensor Algebraic Framework for Image Synthesis, Analysis & Recognition

*M. Alex O. Vasilescu*

*MIT Media Laboratory*

*Demetri Terzopoulos*

*University of California, Los Angeles*

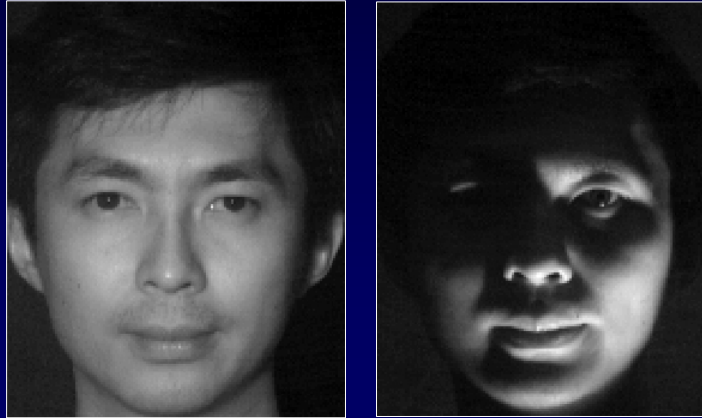
## Why is Face Recognition Difficult?

### *Viewpoint changes*



## Why is Face Recognition Difficult?

### *Illumination Changes*



## Appearance-Based Recognition

### *Recognition of 3D objects (faces) directly from their appearance in ordinary images*

- PCA / Eigenimages:
  - [Sirovich & Kirby 1987]  
*"Low Dimensional Procedure for the Characterization of Human Faces"*
  - [Turk & Pentland 1991]  
*"Face Recognition Using Eigenfaces"*
  - [Murase & Nayar 1995]  
*"Visual learning and recognition of 3D objects from appearance"*

## Linear Algebra

---

### *The algebra of vectors and matrices*

- Traditionally of great value in image science
  - *Fourier transform*
  - *Karhunen-Loeve transform*
- Linear methods (PCA, FLD, ICA) model:
  - *Linear operators over a vector space*
  - *Single-factor variation in image formation*
  - *The linear combination of multiple sources*

## Multilinear Algebra

---

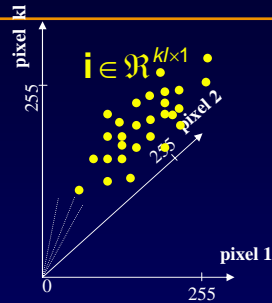
### *The algebra of higher-order (>2) tensors*

- Natural images result from the interaction of multiple factors related to
  - *scene geometry*
  - *Illumination*
  - *Imaging*
- Multilinear algebra can explicitly represent multifactor variation
  - *Multilinear operators over a **set** of vector spaces*
- Multilinear algebra subsumes linear algebra as a special case
- A unifying mathematical framework

# Images



$$I \in \mathcal{R}^{k \times l}$$

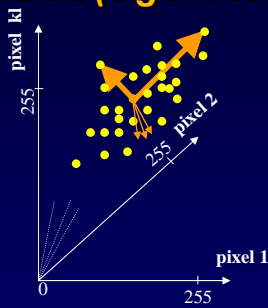


An image is a point in  $\mathcal{R}^{k \times l}$  dimensional space



# Eigenimages

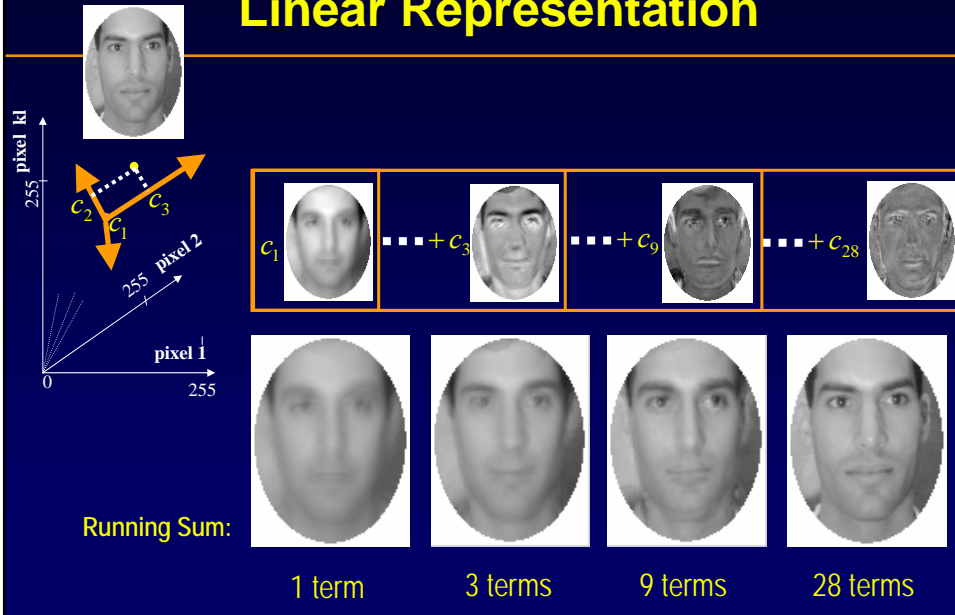
Principal components (eigenvectors) of image ensemble



- Typically computed using the SVD Algorithm



# Linear Representation



# Eigenfaces

- Facial images



- Eigenfaces basis vectors capture the variability in facial appearance

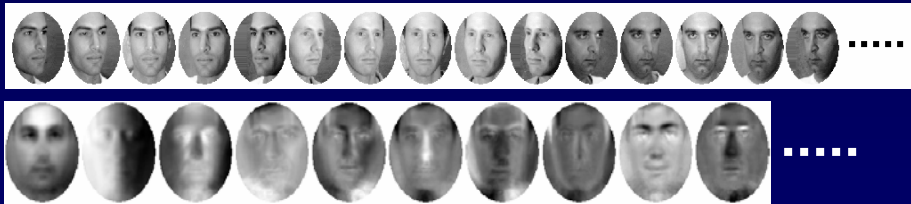


- Eigenfaces have been successful in simple facial recognition problem
  - *i.e., frontal images with fixed illumination*

## The Problem with Linear (PCA) Appearance-Based Recognition Methods

*Eigenimages work best for recognition when only a single factor – e.g., object identity – is allowed to vary*

- However, natural images are the consequence of *multiple factors* (or modes) related to scene structure, illumination and imaging



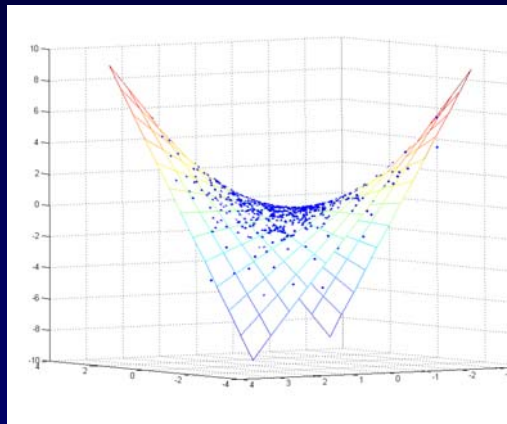
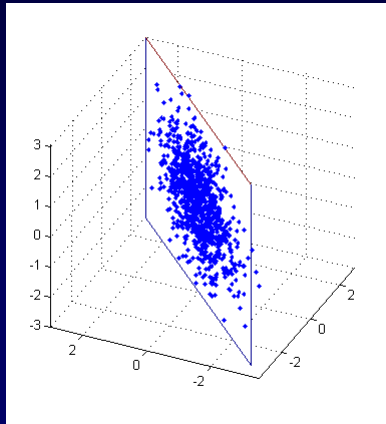
## Our Approach

[ Vasilescu & Terzopoulos, ECCV 02, ICPR 02, CVPR 03, CVPR 05 ]

*A nonlinear appearance-based technique*

- Our appearance-based model *explicitly accounts* for each of the multiple factors inherent in image formation
- Multilinear algebra, the algebra of higher order tensors
- Applied to facial images, we call our tensor technique "TensorFaces"

## Linear vs Multilinear Manifolds



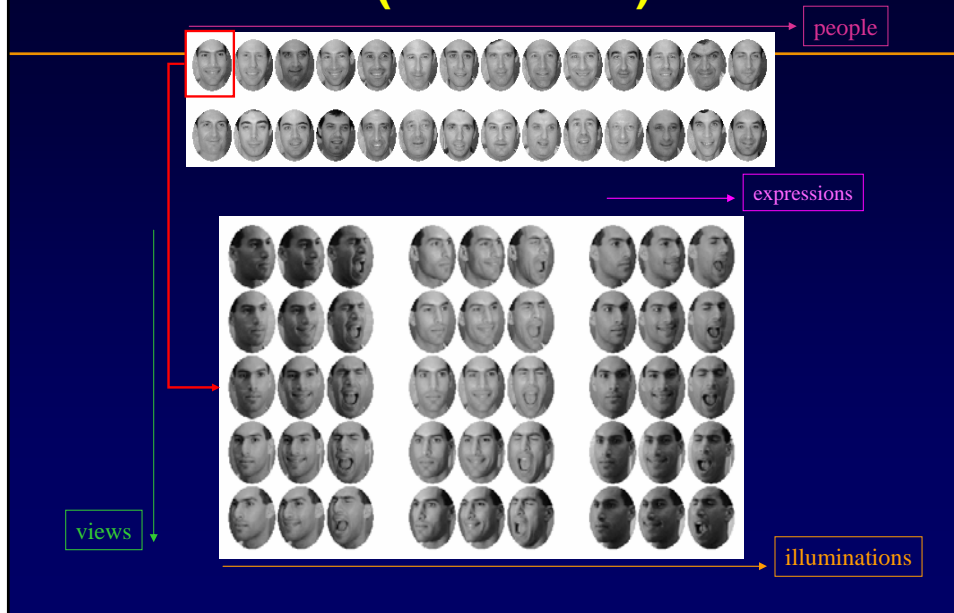
## Preliminary Recognition Results

[Vasilescu & Terzopoulos, ICPR'02]

<b>PIE Recognition Experiment</b>	<b>PCA</b>	<b>TensorFaces</b>
<i>Training: 23 people, 3 viewpoints (0,+34,-34), 4 illuminations</i>		
<i>Testing: 23 people, 2 viewpoints (+17,-17), 4 illuminations (center,left,right,left+right)</i>	<b>61%</b>	<b>80%</b>
<i>Training: 23 people, 5 viewpoints (0,+17,-17,+34,-34), 3 illuminations</i>		
<i>Testing: 23 people, 5 viewpoints (0,+17,-17,+34,-34), 4<sup>th</sup> illumination</i>	<b>27%</b>	<b>88%</b>



## PIE Database (Weizmann)



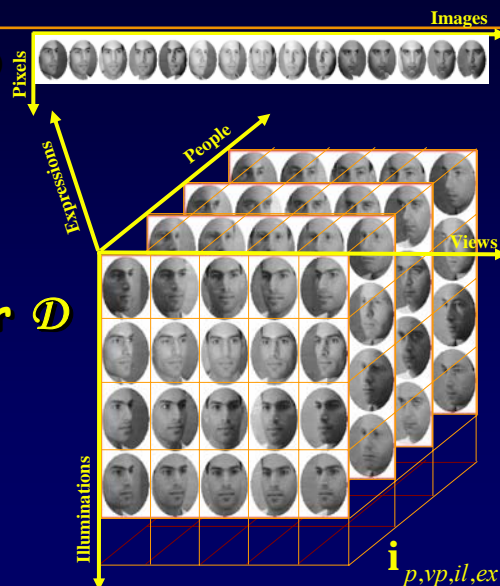
## Data Organization

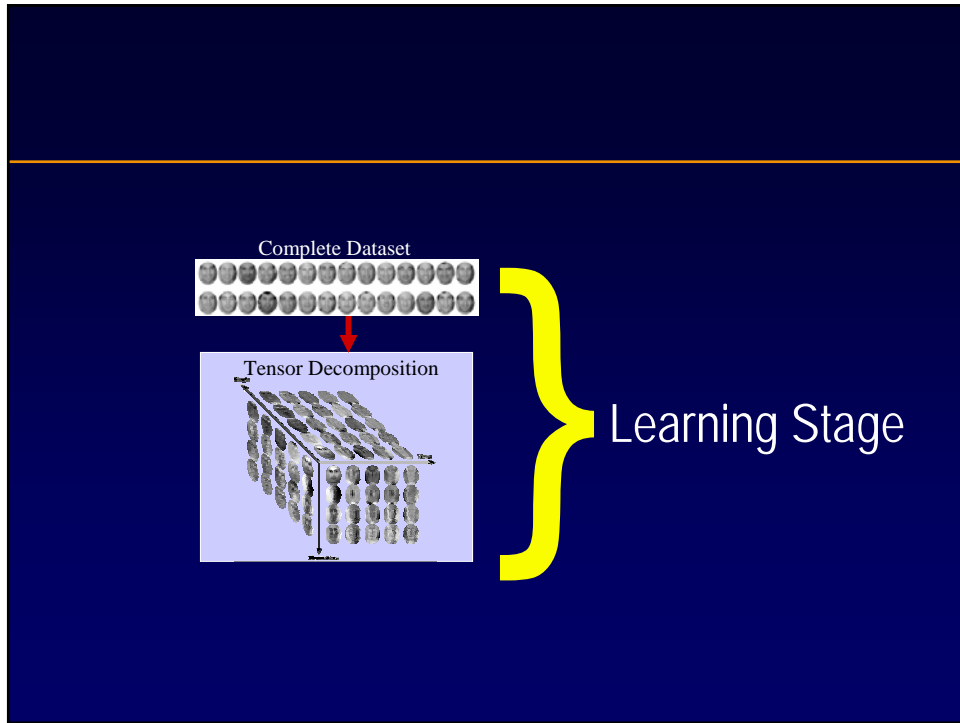
### Linear/PCA: Data Matrix $\mathbf{D}$

- $\mathbb{R}^{\text{pixels} \times \text{images}}$
- a matrix of image vectors

### Multilinear: Data Tensor $\mathcal{D}$

- $\mathbb{R}^{\text{people} \times \text{views} \times \text{illums} \times \text{express} \times \text{pixels}}$
- N-dimensional matrix
- 28 people, 45 images/person
- 5 views, 3 illuminations, 3 expressions per person





## Background on Tensor Decomposition

- Factor Analysis:
  - *Psychometrics, Econometrics, Chemometrics,...*
- SVD:
  - [Eckart and Young, 1936] (Psychometrika)  
“The approximation of one matrix by another of lower rank”
- 3-Way Factor Analysis:
  - [Tucker, 1966] (Psychometrika)  
“Some mathematical notes on three mode factor analysis”
- N-Way Factor Analysis:
  - [Harshman, 1970] – Parafac
  - [Carrol and Chang, 1970] – Candecomp
  - [Kruskal, 1977]
  - [Kroonenberg and De Leeuw, 1980]
  - [Kapteyn, Neudecker, and Wansbeek, 1986]
  - [Franc, 1992]
  - [de Lathauwer, 1997]

## Matrix Decomposition - SVD



- A matrix  $\mathbf{D} \in \mathcal{R}^{l_1 \times l_2}$  has a column and row space

- SVD orthogonalizes these spaces and decomposes  $\mathbf{D}$

$$\mathbf{D} = \mathbf{U}_1 \mathbf{S} \mathbf{U}_2^T \quad (\mathbf{U}_1 \text{ contains the eigenfaces})$$

- Rewrite in terms of *mode-n products*

$$\mathbf{D} = \mathbf{S} \times_1 \mathbf{U}_1 \times_2 \mathbf{U}_2$$

## Tensor Decomposition

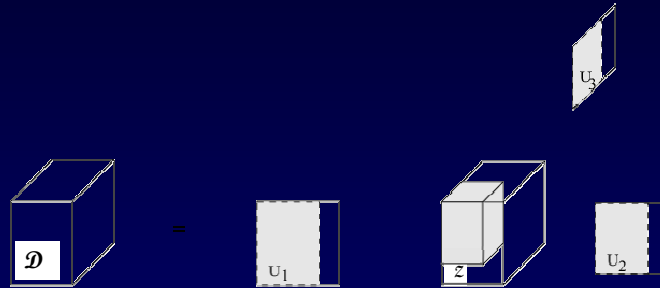
$\mathcal{D}$  is a  $N$ -dimensional “matrix”, with  $N$  spaces

- $N$ -mode SVD is the natural generalization of SVD
- $N$ -mode SVD orthogonalizes these spaces and decomposes  $\mathcal{D}$  as the mode- $n$  product of  $N$ -orthogonal spaces

$$\mathcal{D} = \mathcal{Z} \times_1 \mathbf{U}_1 \times_2 \mathbf{U}_2 \cdots \times_n \mathbf{U}_n \cdots \times_N \mathbf{U}_N$$

- **Core tensor**  $\mathcal{Z}$  governs interaction between mode matrices
- **Mode- $n$  matrix**  $\mathbf{U}_n$  spans the column space of  $\mathbf{D}_{(n)}$

## Tensor Decomposition



$$\begin{aligned}
 \mathcal{D} &= \mathcal{Z} \times_1 \mathbf{U}_1 \times_2 \mathbf{U}_2 \times_3 \mathbf{U}_3 \\
 &= \sum_{r_1=1}^{R_1} \sum_{r_2=1}^{R_2} \sum_{r_3=1}^{R_3} \sigma_{r_1 r_2 r_3} \mathbf{u}_{1, r_1} \circ \mathbf{u}_{2, r_2} \circ \mathbf{u}_{3, r_3} \\
 \text{vec}(\mathcal{D}) &= (\mathbf{U}_3 \otimes \mathbf{U}_2 \otimes \mathbf{U}_1) \text{vec}(\mathcal{Z})
 \end{aligned}$$

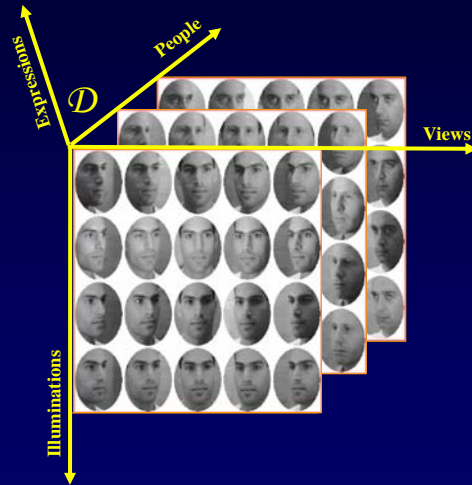
## N-Mode SVD Algorithm

### Two steps:

1. For  $n = 1, \dots, N$ , compute matrix  $\mathbf{U}_n$  by computing the SVD of the flattened matrix  $\mathbf{D}_{(n)}$  and setting  $\mathbf{U}_n$  to be the left matrix of the SVD
2. Solve for the core tensor as follows

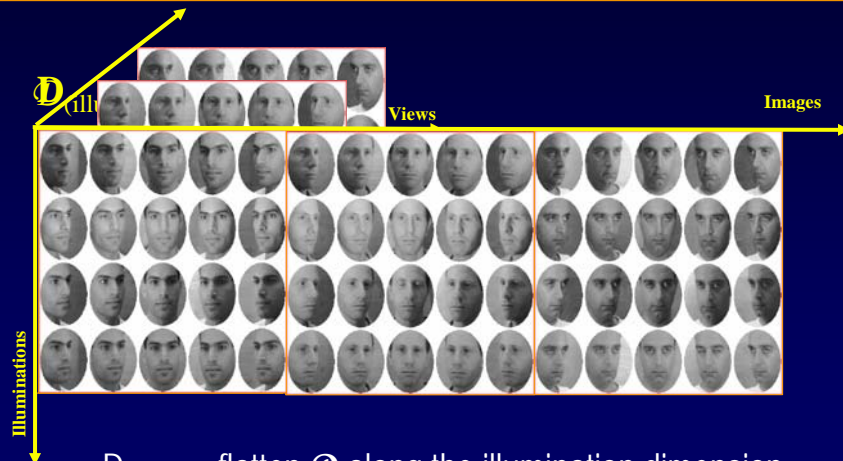
$$\mathcal{Z} = \mathcal{D} \times_1 \mathbf{U}_1^T \times_2 \mathbf{U}_2^T \cdots \times_n \mathbf{U}_n^T \cdots \times_N \mathbf{U}_N^T$$

# Facial Data Tensor Decomposition



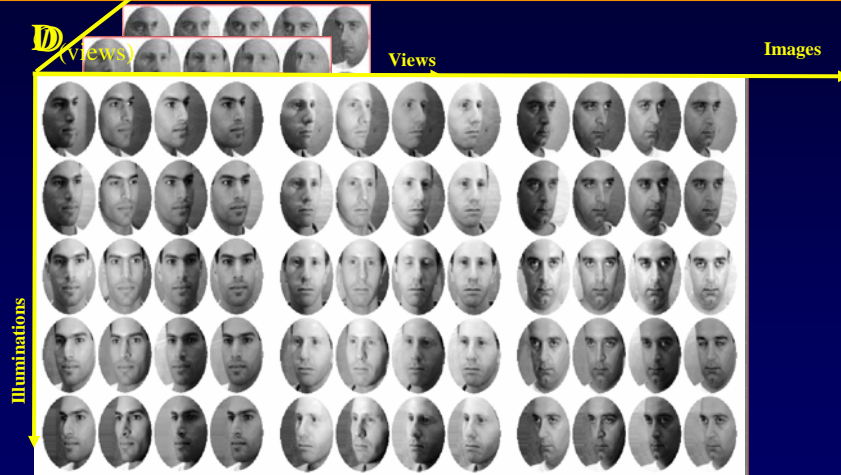
$$\mathcal{D} = \mathcal{Z} \times_1 \mathbf{U}_{\text{people}} \times_2 \mathbf{U}_{\text{views}} \times_3 \mathbf{U}_{\text{illums.}} \times_4 \mathbf{U}_{\text{express}} \times_5 \mathbf{U}_{\text{pixels}}$$

# Computing $\mathbf{U}_{\text{illums}}$



- $\mathbf{D}_{(\text{illums})}$  - flatten  $\mathcal{D}$  along the illumination dimension
- $\mathbf{U}_{\text{illums}}$  - orthogonalizes the column space of  $\mathbf{D}_{(\text{illums})}$

## Computing $U_{\text{views}}$



- $\mathcal{D}_{(\text{views})}$  – flatten  $\mathcal{D}$  along the viewpoint dimension
- $U_{\text{views}}$  – orthogonalize the column space of  $\mathcal{D}_{(\text{views})}$

## Computing $U_{\text{pixels}}$



- $\mathcal{D}_{(\text{pixels})}$  – flatten  $\mathcal{D}$  along the pixel dimension
- $U_{\text{pixels}}$  – orthogonal column space of  $\mathcal{D}_{(\text{pixels})}$ 
  - eigenimages

## Multilinear (Tensor) Algebra

Nth-order tensor  $\mathcal{A} \in \mathfrak{R}^{I_1 \times I_2 \times \dots \times I_N}$

matrix (2<sup>nd</sup>-order tensor)  $\mathbf{M} \in \mathfrak{R}^{J_n \times I_n}$

mode- $n$  product:

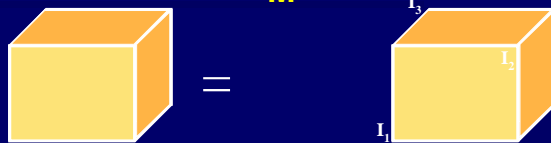
$$\mathcal{B} = \mathcal{A} \times_n \mathbf{M} \quad \text{where} \quad \mathbf{B}_{(n)} = \mathbf{M} \mathbf{A}_{(n)}$$

## Mode- $n$ Product

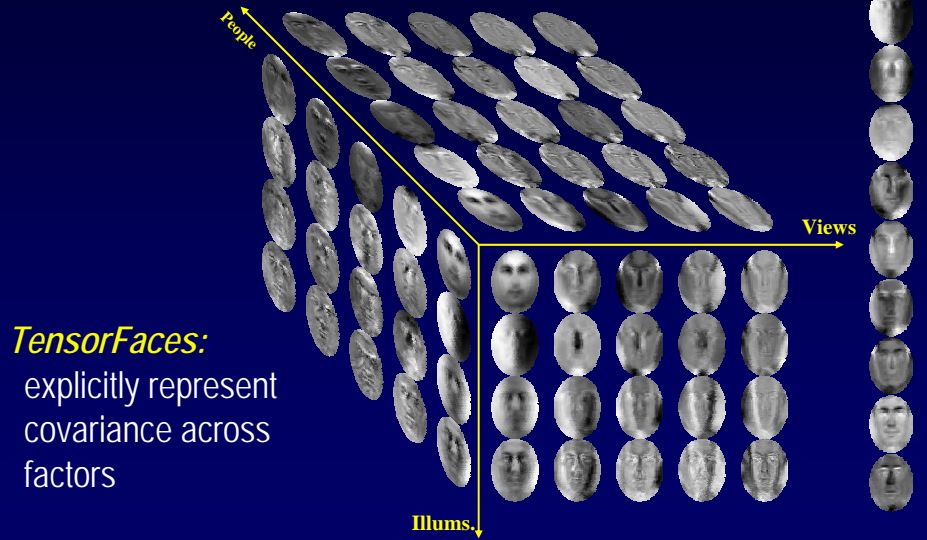
- The mode- $n$  product is a generalization of the product of two matrices
- It is the product of a tensor with a matrix
- Mode- $n$  product of  $\mathcal{A} \in \mathfrak{R}^{I_1 \times \dots \times I_{n-1} \times I_n \times I_{n+1} \times \dots \times I_N}$  and  $\mathbf{M} \in \mathfrak{R}^{J_n \times I_n}$   
 $\mathcal{B} \in \mathfrak{R}^{I_1 \times \dots \times I_{n-1} \times J_n \times I_{n+1} \times \dots \times I_N}$

$$\left( \mathcal{A} \times_n \mathbf{M} \right)_{i_1 \dots i_{n-1} i_{n+1} \dots i_N} = \sum_{i_n} a_{i_1 \dots i_{n-1} i_n i_{n+1} \dots i_N} m_{j_n i_n}$$

$$\mathcal{B} = \mathcal{A} \times_n \mathbf{M}$$



**TensorFaces:**  $\mathcal{B} = \mathcal{Z} \times_5 \mathbf{U}_{\text{pixels}}$



## TensorFaces Subsume Eigenfaces

### *Multilinear Analysis / TensorFaces:*

$$\mathcal{D} = \mathcal{Z} \times_1 \mathbf{U}_{\text{people}} \times_2 \mathbf{U}_{\text{views}} \times_3 \mathbf{U}_{\text{illums}} \times_4 \mathbf{U}_{\text{express}} \times_5 \mathbf{U}_{\text{pixels}}$$

### *Linear Analysis / Eigenfaces:*

$$\underbrace{\mathbf{D}_{(\text{pixels})}}_{\text{data matrix}} = \underbrace{\mathbf{U}_{\text{pixels}}}_{\text{basis matrix}} \underbrace{\left( \mathbf{Z}_{(\text{pixels})} \left( \mathbf{U}_{\text{express}} \otimes \mathbf{U}_{\text{illums}} \otimes \mathbf{U}_{\text{views}} \otimes \mathbf{U}_{\text{people}} \right)^T \right)}_{\text{coefficient matrix}}$$



# Dimensionality Reduction

## Iterative dimensionality reduction approach:

- Optimize mode per mode in an iterative way
- Alternating Least Squares (ALS) algorithm improves data fit

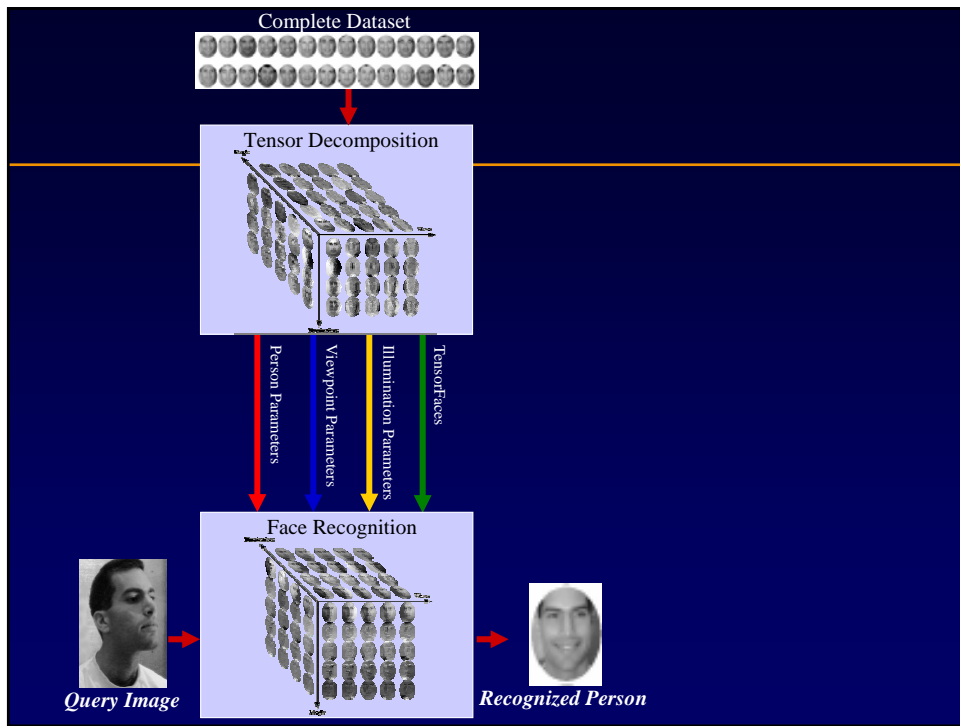
$$\|\mathcal{D} - \hat{\mathcal{D}}\|^2 \leq \sum_{i_1=R_1}^{I_1} \sigma_{i_1}^2 + \sum_{i_2=R_2}^{I_2} \sigma_{i_2}^2 \cdots + \sum_{i_N=R_N}^{I_N} \sigma_{i_N}^2$$

## Strategic Data Compression = Perceptual Quality

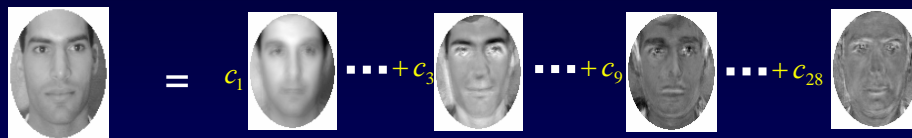
### TensorFaces data reduction in illumination space primarily degrades illumination effects (cast shadows, highlights)

Original	TensorFaces	TensorFaces	PCA
176 basis vectors	66 basis vectors	33 basis vectors	33 basis vectors
6 illum + 11 people param.	3 illum + 11 people param.	3 illum + 11 people param.	33 parameters

- PCA has *lower mean square error* but *higher perceptual error*



## Linear Representation:

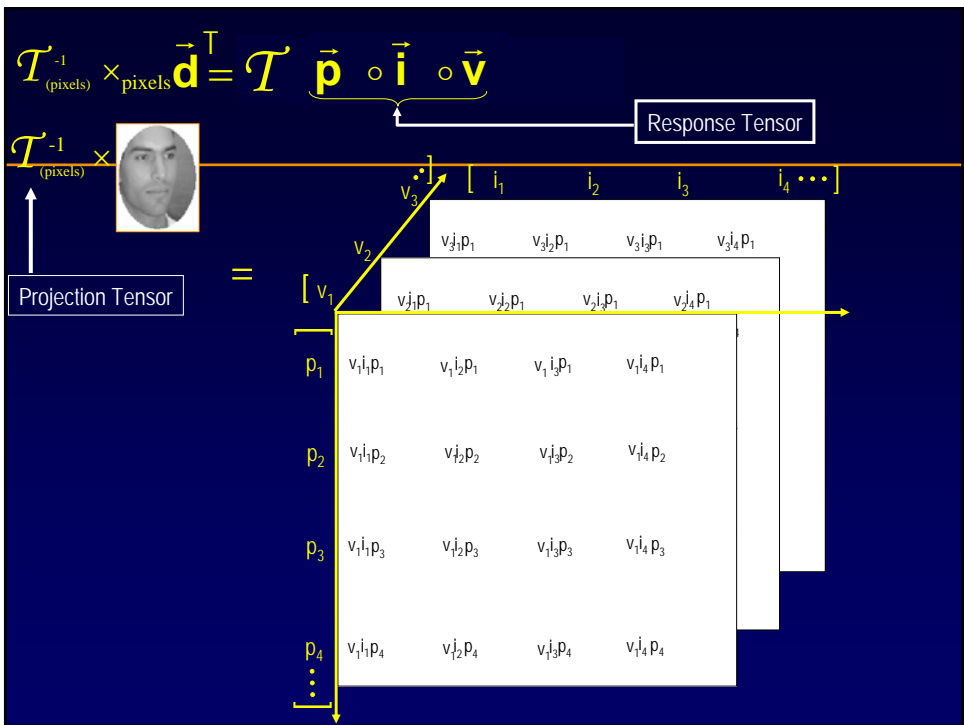
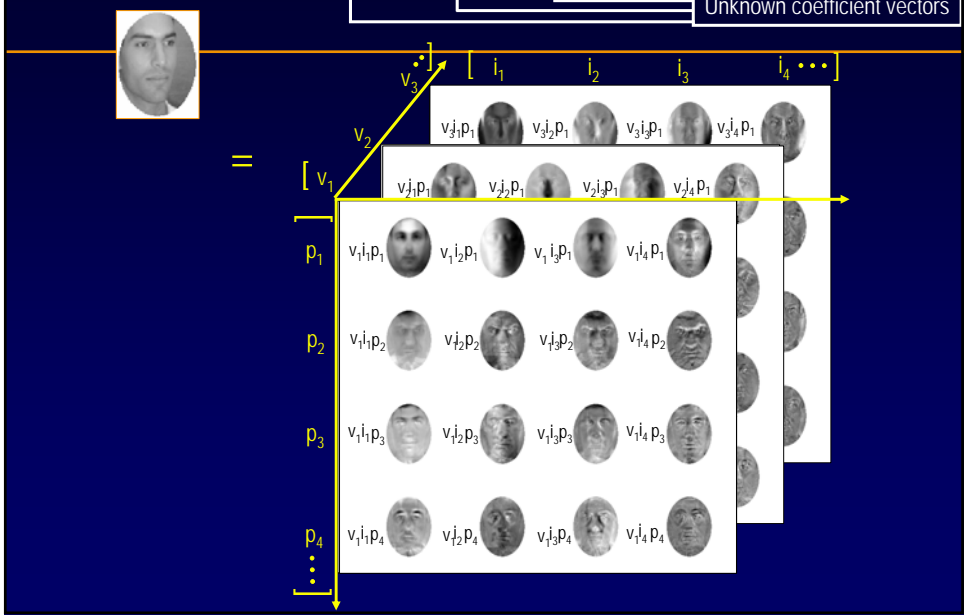


$$\mathbf{U}^T \mathbf{d}_i = \mathbf{U} \mathbf{c}_j$$

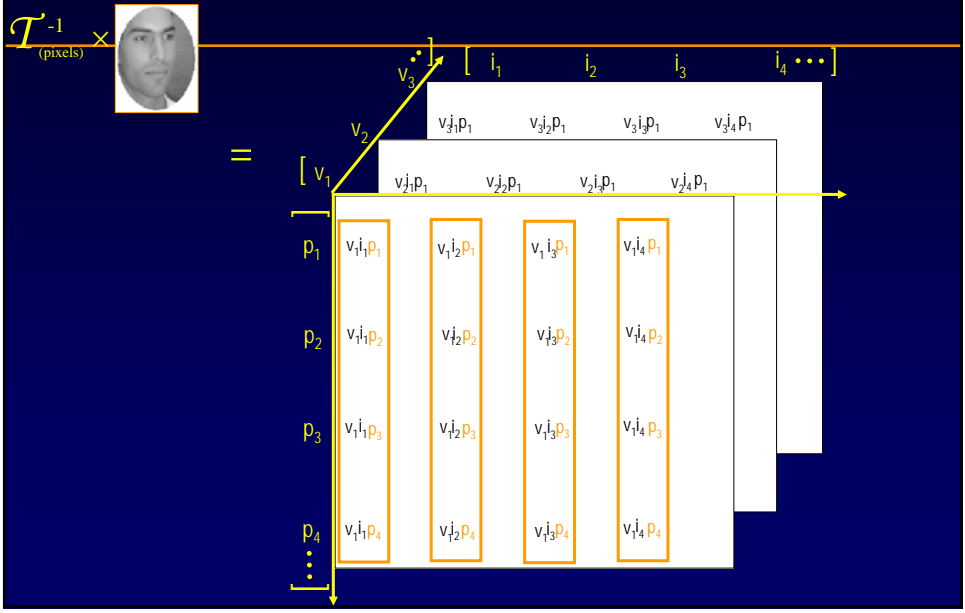
Unknown coefficient vector

Projection Operator

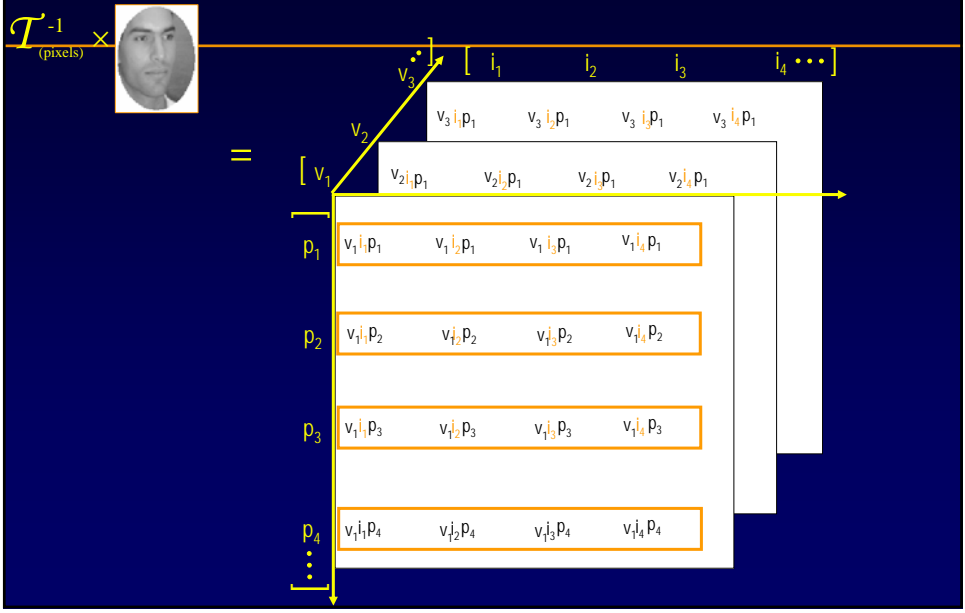
# Multilinear Representation:



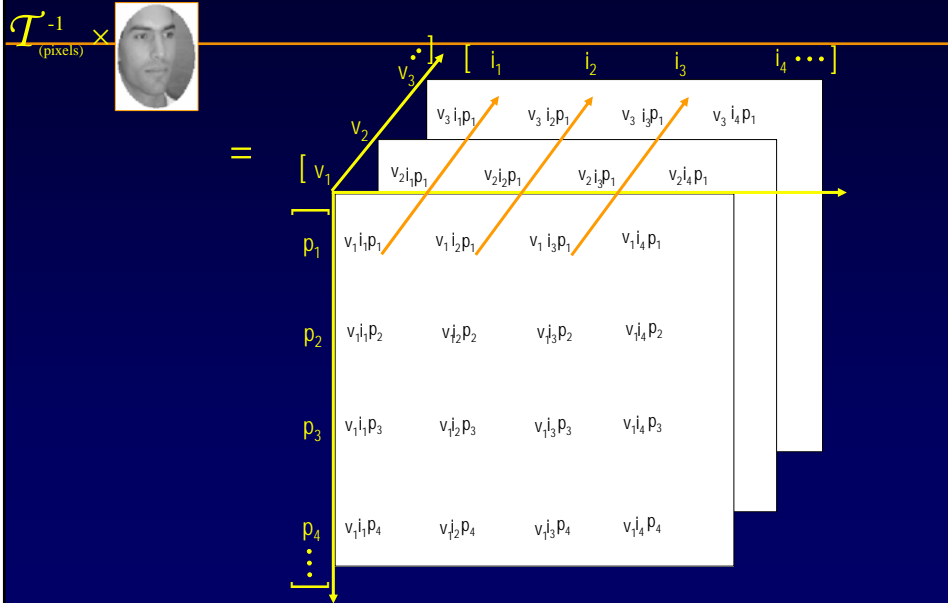
# Response Tensor – Rank (1,...,1)



# Response Tensor – Rank (1,...,1)



## Response Tensor – Rank (1,...,1)



## Multilinear Projection

1. Compute the Projection Tensor:

$$\mathbf{P}_{(\text{mode})} = \mathbf{T}_{(\text{mode})}^+$$

2. Compute the Response Tensor:

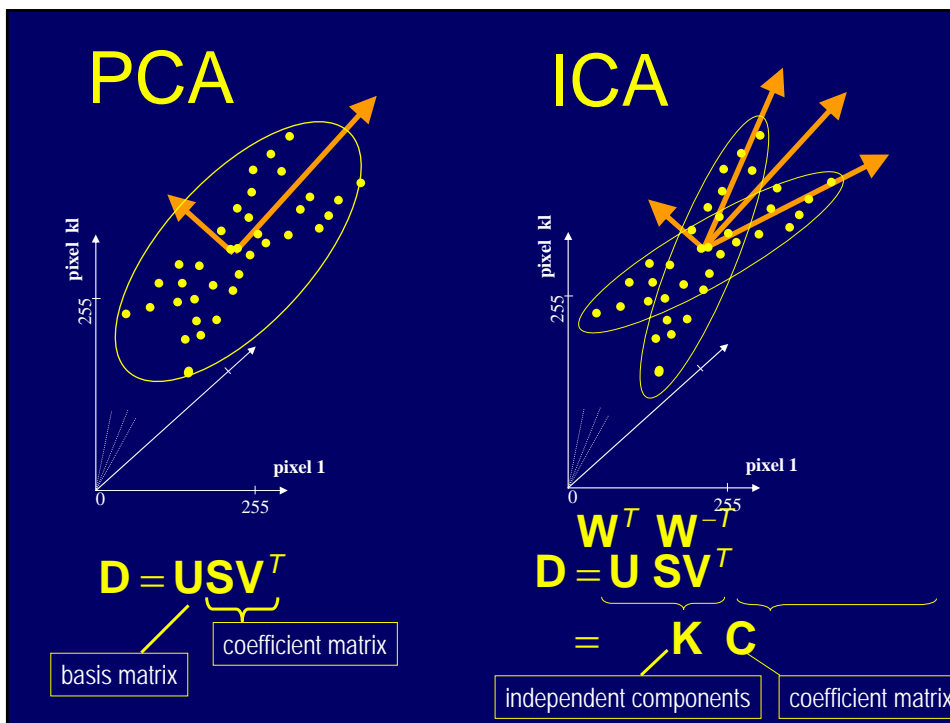
$$\mathcal{R} = \mathcal{P} \times_{\text{mode}} \mathbf{d}^T$$

3. Extract the coefficient vectors by factorizing the Response Tensor using the N-mode SVD algorithm

## Perspective on Multilinear Models

	<i>Linear Models</i>	<i>Our Nonlinear (Multilinear) Models</i>
<b>2<sup>nd</sup> - Order Statistics</b> <i>(covariance)</i>	<b>PCA</b> Eigenfaces	<b>Multilinear PCA</b> TensorFaces
<b>Higher-Order Statistics</b>	<b>ICA</b>	<b>Multilinear ICA</b> Independent TensorFaces

[Vasilescu & Terzopoulos, Learning 2004]



# N-Mode ICA

[Vasilescu & Terzopoulos, CVPR 2005]

1. For  $n=1, \dots, N$ , compute matrix  $\mathbf{U}_n$  by computing the SVD of the flattened matrix  $\mathbf{D}_{(n)}$  and setting  $\mathbf{U}_n$  to be the left matrix of the SVD. Compute  $\mathbf{W}_n^T$  using ICA. Our new mode matrix is  $\mathbf{K}_n$

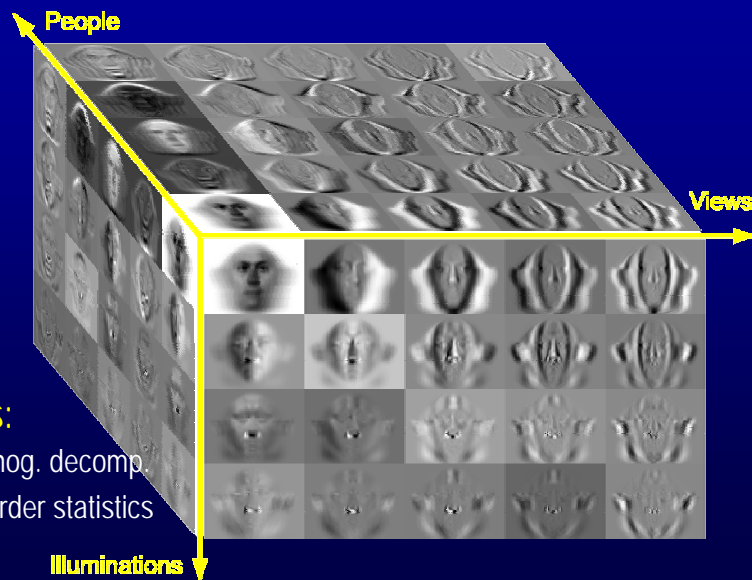
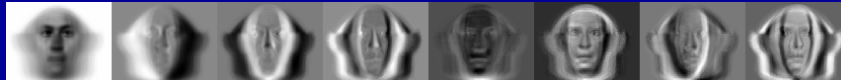
$$\begin{aligned} \mathbf{D}_{(n)} = \mathbf{U}_n \mathbf{Z}_{(n)} \mathbf{V}_n^T &= \underbrace{(\mathbf{U}_n \mathbf{W}_n^T)}_{\mathbf{K}_n} \mathbf{W}_n^{-T} \mathbf{Z}_{(n)} \mathbf{V}_n^T \\ &= \mathbf{K}_n \mathbf{W}_n^{-T} \mathbf{Z}_{(n)} \mathbf{V}_n^T \end{aligned}$$

2. Solve for the core tensor as follows

$$\mathcal{S} = \mathcal{D} \times_1 \mathbf{K}_1^{-1} \times_2 \mathbf{K}_2^{-1} \times \dots \times_n \mathbf{K}_n^{-1} \times \dots \times_N \mathbf{K}_N^{-1}$$


$$\mathcal{S} = \mathcal{Z} \times_1 \mathbf{W}_1^{-T} \times_2 \mathbf{W}_2^{-T} \times \dots \times_n \mathbf{W}_n^{-T} \times \dots \times_N \mathbf{W}_N^{-T}$$

PCA:



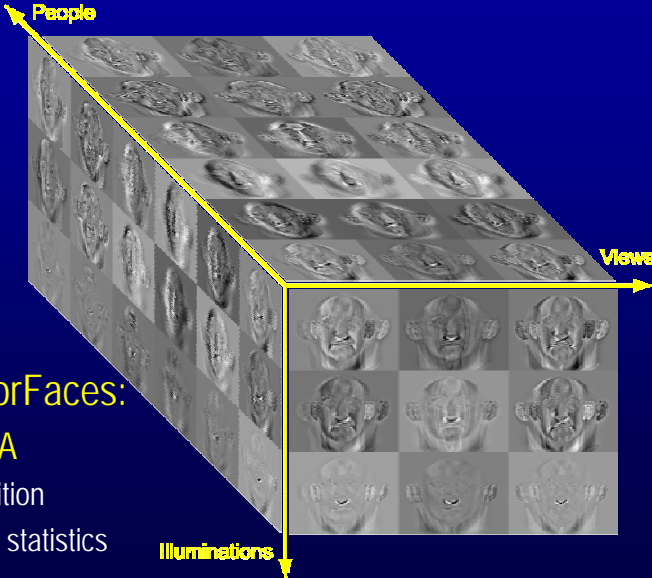
TensorFaces:

- Multilinear orthog. decomp.
- Encodes 2<sup>nd</sup> order statistics


ICA: 

**Independent TensorFaces:**  
Multilinear ICA

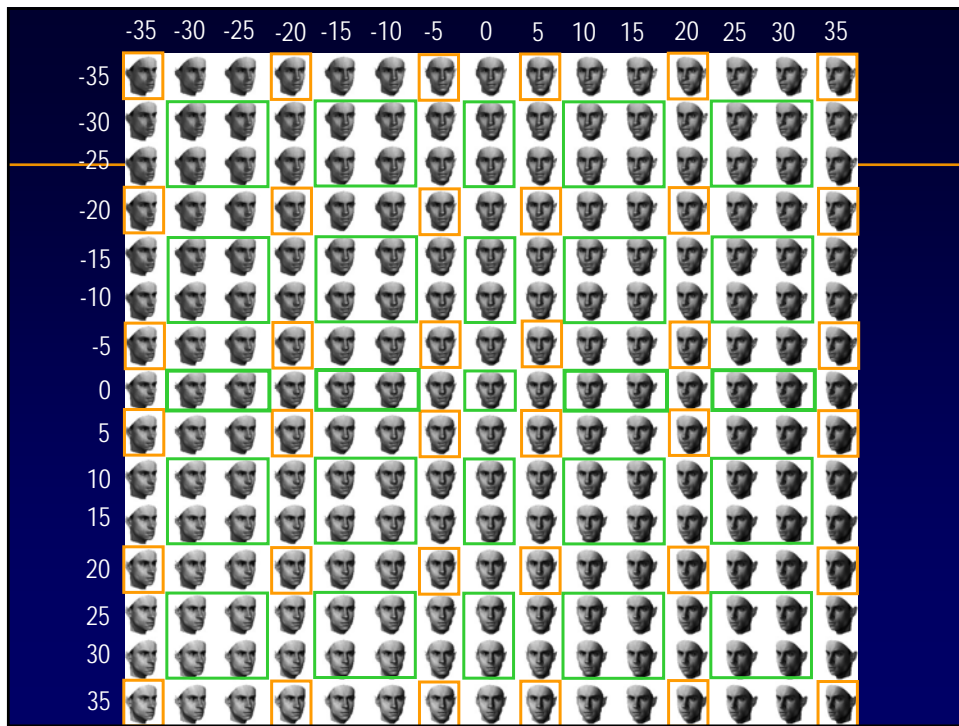
- Multilinear decomposition
- Encodes higher order statistics



## Freiburg U. 3D-Morphable Data







## Results

### Data Set - 16,875 images

- 75 people
- 15 viewpoints
- 15 illuminations

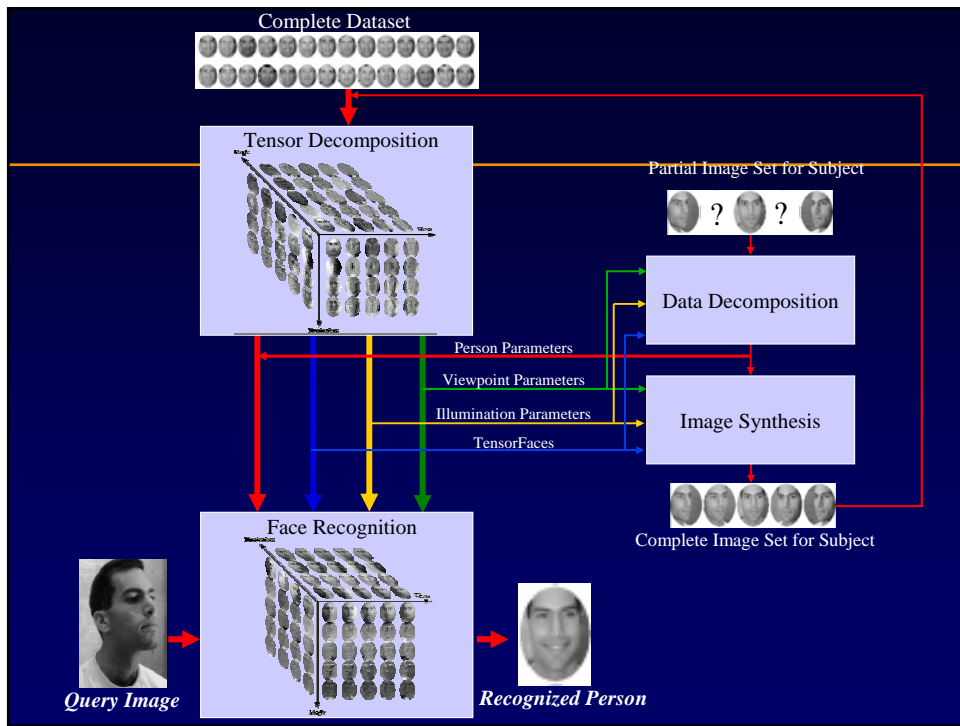
### Training Images - 2,700

- 75 people
- 6 viewpoints
- 6 illuminations

### Test Images:

- 75 people
- 9 viewpoints
- 9 illumns

Linear Models		Multilinear Models	
PCA	ICA	TensorFaces	Independent TensorFaces
83%	89%	93%	97%

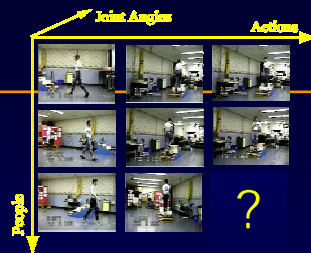


## Other Applications

- Human Motion Signatures

– 3-Mode Decomposition, Recognition, & Synthesis

[Vasilescu ICPR 02, CVPR 01, SIGGRAPH 01]



- Multilinear Image-Based Rendering

[Vasilescu & Terzopoulos, SIGGRAPH 04]



## Multilinear Image-Based Rendering

### *IBR: Rendering based on sparse samples of object appearance (images)*

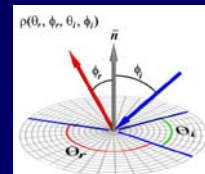
[Gortler et al. 1996, Levoy & Hanrahan 1996, ...]

- Surface appearance is determined by the complex interaction of multiple factors:
  - Scene geometry
  - Illumination
  - Imaging

## Bidirectional Texture Function

### *BTF: Captures the appearance of extended textured surfaces with*

- Spatially varying reflectance
  - Surface mesostructure (3D texture)
  - Subsurface scattering
  - Etc.
- Generalization of BRDF, which accounts only for surface microstructure at a point



## BTF

*Reflectance as a function of position on surface,  
view direction, and illumination direction*

$$f_{BTF}(x, y, \theta_v, \phi_v, \theta_i, \phi_i)$$







position on surface (texel)      view direction      illumination direction

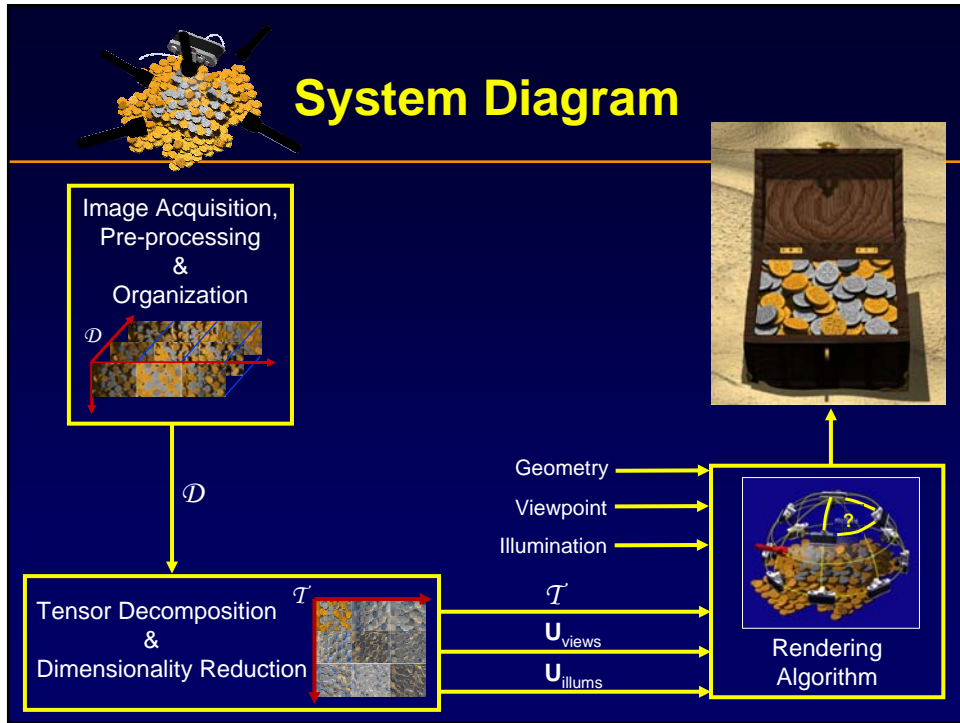
photometric angles

- The BTF captures shading and mesostructural self-shadowing, self-occlusion, interreflection, subsurface scattering

## BTF Texture Mapping

[Dana et al. 1999]

	<i>Concrete</i>	<i>Pebbles</i>	<i>Plaster</i>
Standard Texture Mapping			
BTF Texture Mapping			



## TensorTextures: Multilinear Image-Based Rendering

*TensorTextures*

## Rendered Texture for a Planar Surface




## Conclusion

### *Multilinear algebraic framework for computer vision and computer graphics*


- Tensor approach to the analysis and synthesis of image ensembles
  - *TensorFaces and TensorTextures*
  - *Multilinear PCA and ICA*
- Potentially of interest in **all** multifactor problems in vision and graphics to which PCA has been applied; e.g:
  - *Deformable models – Active appearance models [Cootes and Taylor]*
  - *Morphable face models [Banz and Vetter]*
  - *Precomputed dynamics [James and Fatahalian]*
- Applications in many other fields of science

**Tensor Algebra Foundation**  
**Multilinear PCA/ICA**


Human Motion Signatures ...




Facial Signatures and Caricatures




TensorTextures - IBR




Bioinformatics

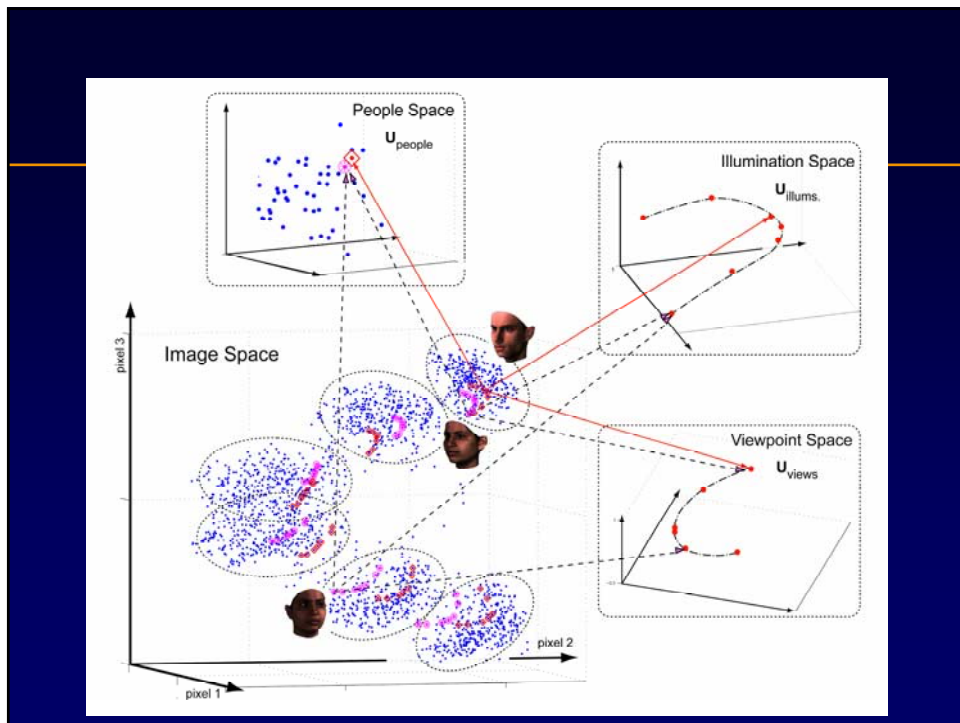


Econometrics



Machine Learning





## **Additional Information**

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*[www.media.mit.edu/~maov](http://www.media.mit.edu/~maov)*

*[terzopoulos.com](http://terzopoulos.com)*

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